

# CEOS & it's Working Group on Climate

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European Commission  
Joint Research Centre

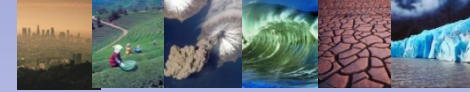
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**NB: the Climate Monitoring Architecture material shown here  
Is joint CEOS, CGMS, WMO activity**

# CEOS Background

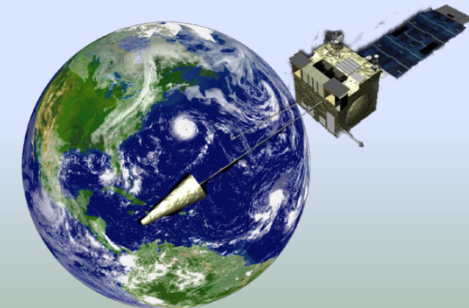
- Established in 1984 under auspices of G-7 Economic Summit of Industrialized Nations
  - Focal point for international coordination of space-related Earth Observation (EO) activities
  - Optimize benefits through cooperation of members in mission planning and in development of compatible data products, formats, services, applications, and policies
- Operates through best efforts of Members and Associates via voluntary contributions
- 30 Members (Space Agencies), 22 Associates (UN Agencies, Phase A programs or supporting ground facility programs)
- As the space component of the Global Earth Observation System of Systems (GEOSS), CEOS is implementing high priority actions in support of Group on Earth Observation (GEO) Tasks

# Primary Objectives of CEOS

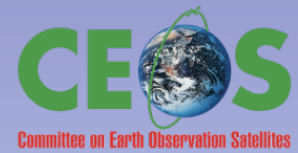


1. To optimize benefits of space-borne Earth observations through:
  - Cooperation of its Members in mission planning
  - Development of compatible data products, formats, services, applications, and policies;
2. To serve as a focal point for international coordination of space-related Earth observation activities;
3. To exchange policy and technical information to encourage complementarity and compatibility of observation and data exchange systems.

**Persistent request to CEOS from UNFCCC/SBSTA on systematic climate observations.**



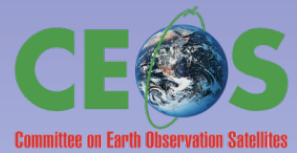
# Rationale for a Concerted Climate Activity in CEOS



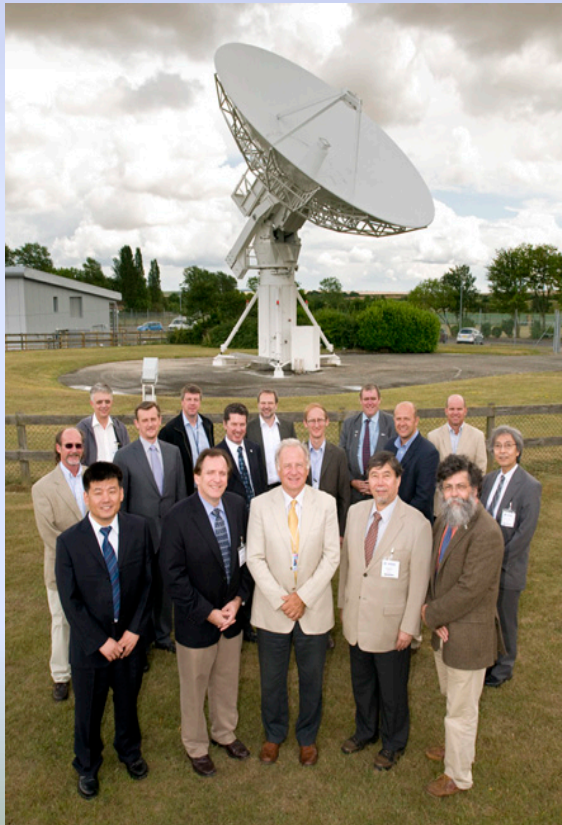
- Many Climate Initiatives undertaken by space agencies both in and outside of CEOS
  - SCOPE-CM
  - ESA Climate Change Initiative
  - EUMETSAT Climate Programme
  - Developing NOAA Climate Services
  - .....
- Many relevant existing Scientific Groups
  - IOCCG
  - GHRSSST
  - Sea surface topography
  - GEWEX
  - ... etc ...
- CEOS Virtual Constellations contribute in specific ECV areas
  - Ocean Surface Topography
  - Precipitation
  - Ocean Colour Radiometry
  - Ocean Surface Vector Winds
  - Land Surface Imaging
  - Sea Surface Temperature
- **Need to have overall coherent approach**

**CEOS represents agencies with both research and operational mandate  
R to O OR R and O ?**

# Committee on Earth Observing Satellites Working Group on Climate (WGClimate)



WGClimate was endorsed as a full CEOS WG at the end of 2010 (the first new WG in 10 years!) and will coordinate and encourage collaborative activities between the world's major space agencies in the area of climate monitoring



The Mission of the Working Group Climate (WGClimate) is to **facilitate the implementation and exploitation of Essential Climate Variable** (ECV) time-series through coordination of the existing and substantial activities undertaken by CEOS member agencies. This includes the **numerous iterative steps** involved in the creation of ECVs **and ensuring ECV life cycle information is gathered, organized, and preserved** for future generations

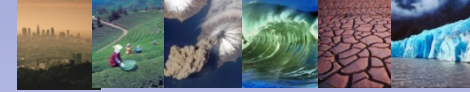
Chair of CEOS WGClimate  
Mark Dowell (EC/JRC)  
Vice Chair John Bates (NOAA/NCDC)

# Terms of Reference

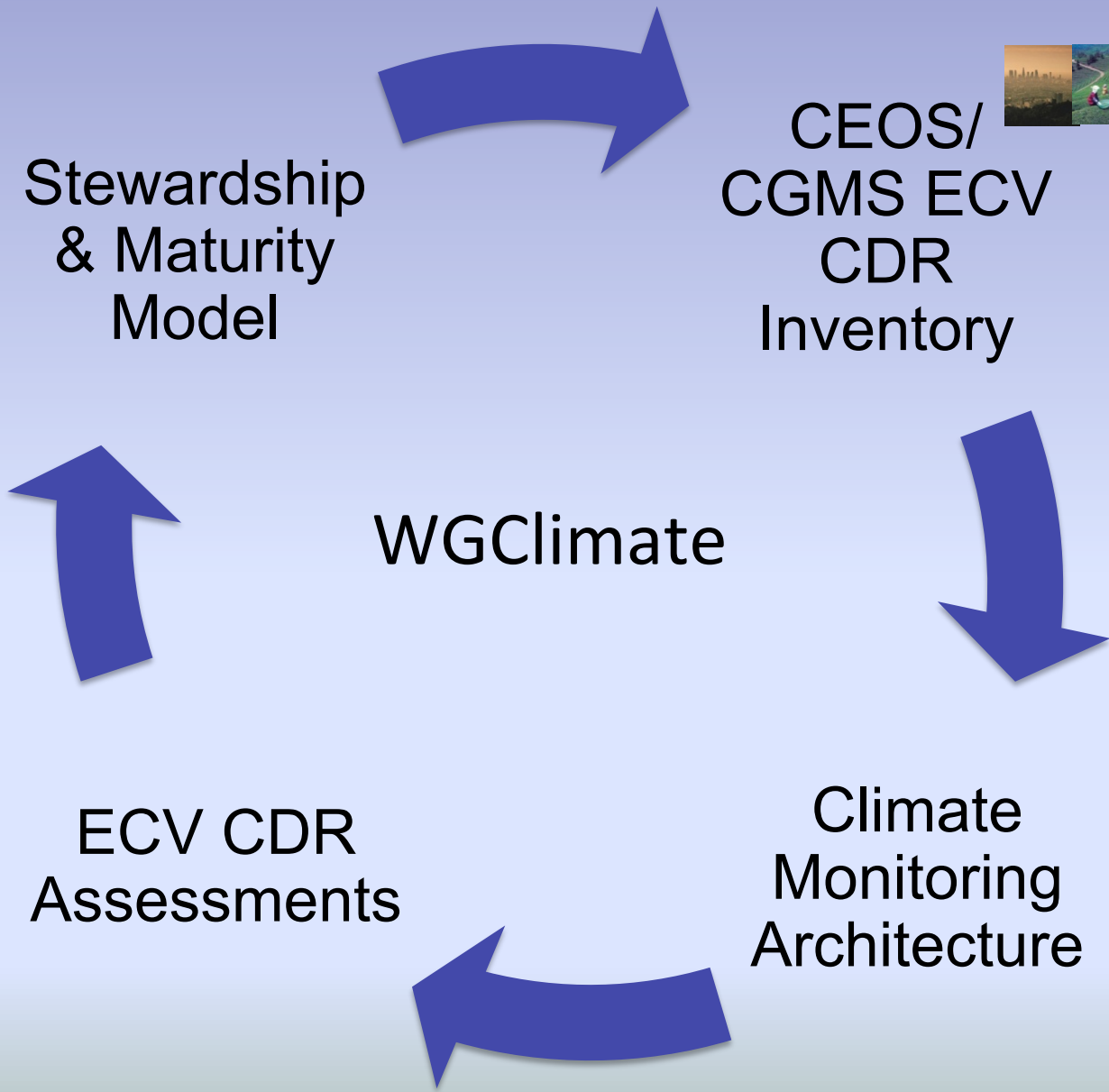
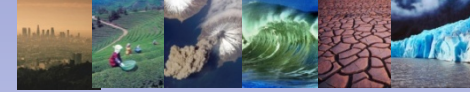


- The CEOS Climate Working Group will:
  - Review and assess, on behalf of CEOS, the generation of Fundamental Climate Data Records (FCDRs) and derived Essential Climate Variable (ECV) climate products supported by Member space agencies, complementary with existing entities and roles;
  - Contribute to the review of **compliance of satellite missions and products with the GCOS Climate Monitoring Principles** and with the “Guideline for the Generation of Datasets and Products meeting GCOS Requirements” (GCOS-143);
  - Identify **multi-agency implementation teams** for each product and review their actions, and ensure that a coherent implementation plan exists for each and every product taking full account of other pertinent international initiatives such as SCOPE-CM and science programmes;
  - **Make recommendations** to the above teams and receive recommendations from them, for transmission to **CEOS Agency Principals**;
  - Ensure coherence of climate product generation supported by space agencies, including with other **relevant international initiatives, in particular SCOPE-CM, and**);
  - Undertake any other relevant activities as instructed by CEOS Chair.

# Priorities for WGClimate



- Climate Monitoring Architecture
  - Logical architecture, basis for prioritizing WGClimate activities
  - Relation of physical architecture to ECV Inventory
- CEOS/CGMS ECV Inventory:
  - Discussion on maturity matrix model
  - Discussion on climate information stewardship issues
- ECV by ECV analysis -> Assessments
- Outreach/Networking: both internal with other CEOS WGs and VCs & external SCOPE-CM/GSICS and WCRP





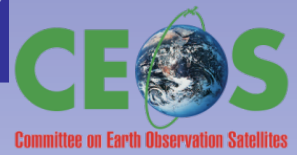
# Why do we need a Climate Monitoring Architecture?

Based on discussions three main "needs/usage scenarios" have emerged for a climate monitoring architecture:

- A Assist in **promotion of a common** understanding of the implementation implications of meeting the various space-related climate monitoring requirements (e.g. from GCOS)
- B Support **an assessment of the degree to which the currently implemented systems meet the requirements** (and the generation of an action plan to address identified shortfalls/gaps/duplication)
- C To improve our **understanding of the end-to-end information flows** and dependencies (i.e. from sensing through to decision-making)

# Conclusions of January 2011

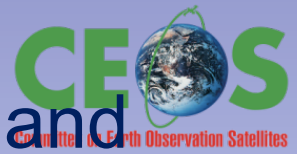
## WMO/GCOS Meeting



- Agreed to develop a strategy for climate monitoring architecture
- Identified writing group
  - CEOS – Four/Five from Working Group Climate
  - CGMS – Four/Five
  - WMO Secretariat
- Identified review group
  - GEO Secretariat
  - GCOS
  - WCRP
- Develop strategy for developing the architecture

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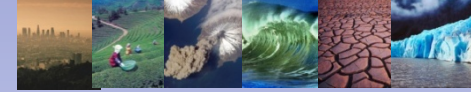
# Writing group representing CEOS, CGMS and WMO



Aimed at badgeless/no logo activity beneficial for all readers

- EC – Mark Dowell, Chair
- ESA – Pascal Lecomte
- EUMETSAT – Joerg Schulz, Robert Husband
- JMA – Yoshihiko Tahara
- NASA – Richard Eckman (Eric Lindstrom)
- NOAA – John Bates, Suzanne Hilding, Chuck Wooldridge, (Mitch Goldberg)
- INPE – (Daniel Alejandro Vila)
- WMO – Jerome Lafeuille, Barbara Ryan, Tillmann Mohr, Hye Jin Lee
  
- Review Group:
  - GCOS
  - GEO
  - WCRP

# Outline



- **Executive Summary and recommendations**
- **Introduction, Objectives & Targets**
- **Climate Monitoring Principles, Requirements & Guidelines**
- **State of the Art**
- **Beyond research to operations**
- **Climate Architecture definition**
- **Mechanisms for Interaction**
- **Roadmap for way forward**
- **Recommendations**

# Positioning the report



- approach adopted is intentionally open and inclusive
- designed so that all the relevant entities can identify their potential contributions
- even if this maybe beyond their existing capabilities and programmatic obligations
- in recognition of the need to obtain the maximum degree of consensus at this early stage in the process, the level of definition of the architecture is necessarily high-level and conceptual.

# Internal review

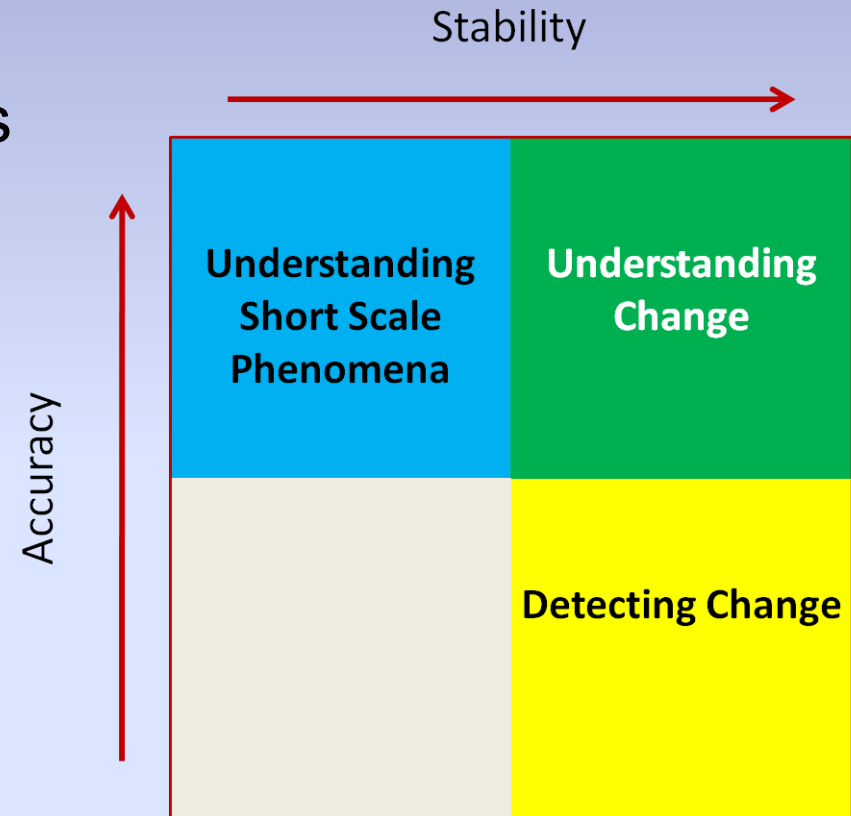


- Submitted to GCOS, GEO and WCRP in August
- Comments received from GCOS and WCRP
- No comments from GEO
- Both GCOS and WCRP were largely complimentary and provided some specific comments/concerns which were taken into account

# Climate Monitoring Principles, Requirements & Guidelines



- Why are specific requirements necessary?
- What requirements are relevant?
- What is the source of requirements?
- What is the impact of user requirements on instrument requirements and satellite operations?
- What requirements result for data processing, preservation and distribution?

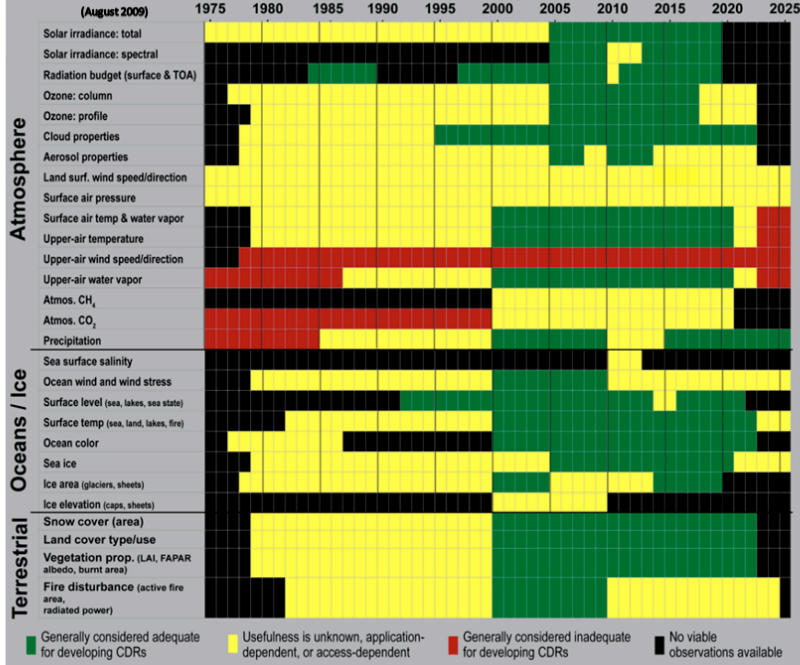


Adapted from Ohring (2004)

# Existing Gap Analyses



**Global Essential Climate Variables (ECVs) with Heritage Records**

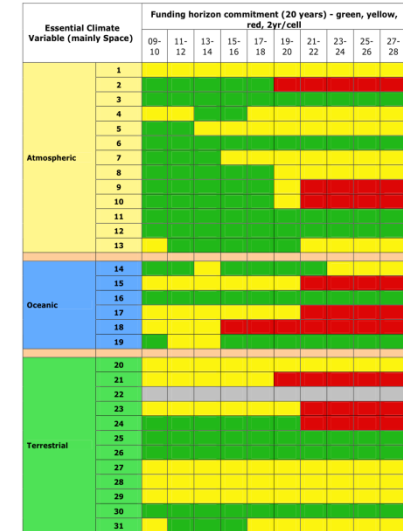


## US Agencies

## EU Agencies

8 Gap analysis: Table 5

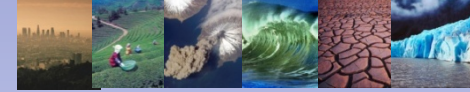
| Essential Climate Variable (mainly Space)     | Fundamental Climate Data Record                                                                                        | GCOS H Res. G           |
|-----------------------------------------------|------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1 Precipitation                               | Passive microwave radiances, High frequency geostationary IR, Active radar (for calibration)                           | 100 km (for extr. east) |
| 2 Earth Radiation Budget                      | Broadband radiances, Spectrally resolved solar irradiances, Geostationary multi-spectral imagery                       | 100 k                   |
| 3 Upper-air Temperature                       | Passive microwave radiances, GPS radio occultation, High-spectral-resolution IR radiances for re-analyses              | 100 k                   |
| 4 Upper-air Wind                              | VIS/IR imagery, Doppler wind lidar                                                                                     | 100 k                   |
| 5 Surface Wind Speed and Direction            | Passive microwave radiances and scatterometry                                                                          | 10 kr                   |
| 6 Water Vapour                                | Passive microwave radiances, UV/VIS radiances, IR imagery/soundings in 6.7µm band, Microwave soundings in 183 GHz band | 10 - 50                 |
| 7 Cloud Properties                            | VIS/IR imagery, IR and microwave soundings                                                                             | 99 - 10k                |
| 8 Carbon Dioxide                              | NIR/IR radiances                                                                                                       | 10 - 25k                |
| 9 Methane                                     | NIR/IR radiances                                                                                                       | 10 - 50                 |
| 10 Other GHGs                                 | NIR/IR radiances                                                                                                       | —                       |
| 11 Ozone (tropospheric)                       | UV/VIS radiances, IR/Microwave radiances                                                                               | 5 - 50                  |
| 12 Ozone (stratospheric)                      | UV/VIS radiances, IR/Microwave radiances                                                                               | 50 - 10k                |
| 13 Aerosol Properties                         | VIS/NIR /SWIR radiances                                                                                                | 1 - 10                  |
| 14 Sea-Surface Temperature                    | Single & multi-view IR and microwave imagery                                                                           | 1 km                    |
| 15 Sea Level                                  | Altimetry                                                                                                              | 25 kr                   |
| 16 Sea Ice                                    | Passive Microwave imagery (DMSP, AMSR-E), SAR, TR & VIS imagery                                                        | 12 - 10k                |
| 17 Sea State                                  | Altimetry, scatterometer, SAR                                                                                          | 25 kr                   |
| 18 Ocean Salinity                             | Microwave radiances                                                                                                    | 15 - 10k                |
| 19 Ocean Colour (TOP + CHL a)                 | Multispectral VIS imagery                                                                                              | 1 km                    |
| 20 Snow Cover (Extent, Snow Water Equivalent) | VIS/NIR/IR and passive microwave optical imagery                                                                       | 100 m - 1               |
| 21 Glaciers and Ice Caps                      | VIS/NIR/SWIR optical imagery, Altimetry                                                                                | 30 r                    |
| 22 Permafrost and seasonally-frozen ground    | -                                                                                                                      | 250 r                   |
| 23 River Discharge                            | Altimetry                                                                                                              | 10 km                   |
| 24 Lake level/properties                      | VIS/NIR imagery radar imagery, Altimetry, IR imagery                                                                   | 1 - 4 k                 |
| 25 Albedo                                     | Multispectral and broadband imagery                                                                                    | 1 km                    |
| 26 Land Cover                                 | multispectral VIS/NIR imagery                                                                                          | 250 r                   |
| 27 FAPAR                                      | VIS/NIR imagery                                                                                                        | 250 r                   |
| 28 Leaf Area Index                            | VIS/NIR imagery                                                                                                        | 250 r                   |
| 29 Biomass                                    | L Band / P Band SAR, Laser altimetry                                                                                   | 10 r                    |
| 30 Fire Disturbance                           | VIS/NIR/SWIR/IR multispectral imagery                                                                                  | 250 r                   |
| 31 Soil Moisture (surface and root zone)      | Active and Passive microwave (Scatterometer and SMO5)                                                                  | 50km                    |



1. Analysis perform for US & EU ... but we need global
2. Analysis perform at sensor level ... but we need at TCDR level



# Logical and Physical Architecture

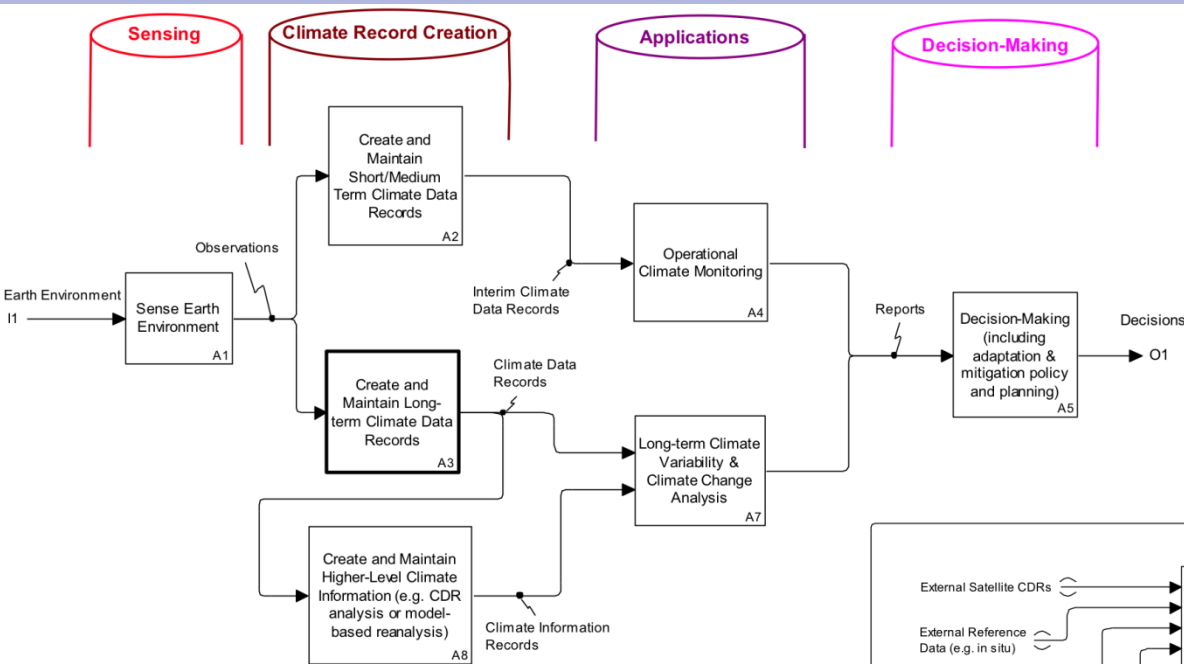


- **logical view:** represents the requirements baseline as a set of interlinked functions and associated data flows (i.e. the target) . Logical view is as stable as the requirements baseline and, once established, should require little maintenance
- **physical view:** describes how the logical view is implemented, i.e. how close we are to achieving the target. Needs to be maintained on a regular basis to make sure it appropriately reflects the prevailing status (will take longer to determine)

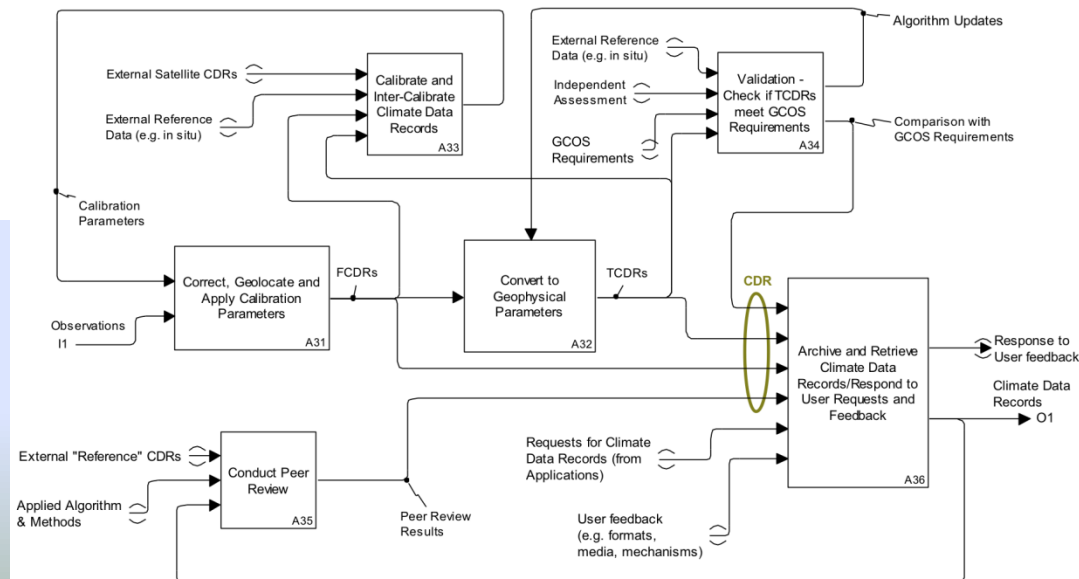
# Logical representation



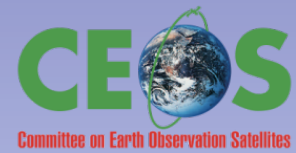
Traceable to GCOS  
Guidelines and GCOS  
Climate Monitoring  
Principles



Traceable from ECV  
Inventory and physical  
representation of  
Climate Monitoring  
Architecture



# ECV Inventory Questionnaire



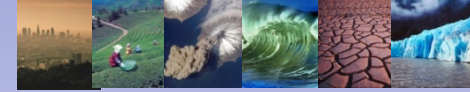
- Joint activity with CGMS and WMO
- Call released with MIM end May, responses expected by October
- Questionnaire form – through a web interface.
- Responses are requested at the dataset level
- Addresses both existing/past missions and future/planned mission in two separate questionnaires
- Areas:
  - General
  - Dataset Usage
  - Dataset Stewardship
  - Dataset Properties
  - Dataset Access

Questionnaire for Populating the CEOS Climate Data Record Inventory

| Area                | Question                                                                                                                                                                                                                                                                                                                                       | Response                               |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|
| GENERAL             | 0. Responder Name                                                                                                                                                                                                                                                                                                                              | Text field                             |
|                     | 1. Email contact for individual populating the questionnaire?                                                                                                                                                                                                                                                                                  | Text field                             |
|                     | 2. Data Set Identifier of the TCDR?                                                                                                                                                                                                                                                                                                            | Text field                             |
|                     | 3. Name of organisation with overall responsibility for dataset?                                                                                                                                                                                                                                                                               | Agency/Menu                            |
|                     | 4. Is the TCDR dataset the result of an international coordination activity?                                                                                                                                                                                                                                                                   | Yes/No; If Yes, ProjectMenu            |
|                     | 5. Has the dataset been produced in conjunction with any external domain-specific generation and assessment body?                                                                                                                                                                                                                              | Yes/No; If Yes, AssessmentBody/Menu    |
|                     | 6. Have the quality control aspects of the dataset generation process been implemented in conjunction with a relevant international coordination body?                                                                                                                                                                                         | Yes/No; If Yes, ProcessMenu            |
| DATASET USAGE       | 1. What specific climate applications does this dataset support?                                                                                                                                                                                                                                                                               | Text field                             |
|                     | 2. Which ECV (or ECV product) can be generated from this dataset?                                                                                                                                                                                                                                                                              | ECV Menu                               |
| DATASET STEWARDSHIP | 1. Which organisational entity is responsible for collecting the observations?                                                                                                                                                                                                                                                                 | Agency Menu                            |
|                     | 2. Which organisational entity is responsible for calibrating the observations?                                                                                                                                                                                                                                                                | Agency Menu                            |
|                     | 3. Which organisational entity is responsible for intercalibrating the observations?                                                                                                                                                                                                                                                           | Agency Menu                            |
|                     | 4. Which organisational entity is responsible for generating and maintaining the FCDR (i.e. correcting, geolocating and applying calibration parameters to the observations)?                                                                                                                                                                  | Agency Menu                            |
|                     | 5. Which organisational entity is responsible for generating and maintaining the TCDR (i.e. conversion of the FCDR to geophysical parameters)?                                                                                                                                                                                                 | Agency Menu                            |
|                     | 6. Which organisational entity is responsible for checking if the resultant TCDRs meet the relevant GCOS requirements, and identifying any required processing updates?                                                                                                                                                                        | Agency Menu                            |
|                     | 7. Which organisational entity is responsible for organising the independent peer review of the dataset?                                                                                                                                                                                                                                       | Agency Menu                            |
|                     | 8. Which organisational entity is responsible for collating, archiving and maintaining the resultant climate data records (e.g. archiving observations, FCDRs, TCDRs and all ancillary information such as processing configurations used in their generation, comparison with GCOS requirements, peer reviews, external reference data, etc)? | Agency Menu                            |
|                     | 9. Which organisational entity is responsible for servicing user requests for the dataset?                                                                                                                                                                                                                                                     | Agency Menu                            |
|                     | 10. Which organisational entity is responsible for responding to user feedback on the use of the dataset?                                                                                                                                                                                                                                      | Agency Menu                            |
| DATASET PROPERTIES  | 1. What is the start-date of the continuous dataset record?                                                                                                                                                                                                                                                                                    | Date Field                             |
|                     | 2. What is the end-date of the continuous dataset record?                                                                                                                                                                                                                                                                                      | Date Field                             |
|                     | 3. Until when are firm commitments in place to continue this record?                                                                                                                                                                                                                                                                           | Date Field                             |
|                     | 4. What physical quantity does the dataset measure?                                                                                                                                                                                                                                                                                            | TypeMeasurements/WMO/Menu              |
|                     | 5. What are the units of the dataset?                                                                                                                                                                                                                                                                                                          | AccuracyUnits/Menu                     |
|                     | 6. Which satellite/instrument combination is used to generate the dataset?                                                                                                                                                                                                                                                                     | Satellite Menu<br>Instrument Menu      |
|                     | 7. What is the geographical coverage of the TCDR (if not global specify extent)?                                                                                                                                                                                                                                                               | Coverage Menu: See tab for user inputs |
|                     | 8. What is the Horizontal Resolution of the TCDR (in km)?                                                                                                                                                                                                                                                                                      | Number field                           |
|                     | 9. What is the Vertical Resolution of the TCDR (in km)?                                                                                                                                                                                                                                                                                        | Number field                           |
|                     | 10. What is the Temporal Resolution of the TCDR (repeat cycle) in days?                                                                                                                                                                                                                                                                        | Number field                           |
|                     | 11. What is the Accuracy of the TCDR?                                                                                                                                                                                                                                                                                                          | Number field                           |
|                     | 12. What is the Stability of the TCDR?                                                                                                                                                                                                                                                                                                         | Number field                           |
|                     | 13. Please provide link to document describing the scientific review process related to FCDR/TCDR construction (including algorithm selection), FCDR/TCDR quality and applications?                                                                                                                                                            | Link                                   |
|                     | 14. Please provide the link to the document describing all the steps taken in the generation of FCDRs and TCDRs, including algorithms used, on-board calibration, specific FCDRs used, version management system used and characteristics and outcomes of validation activities.                                                               | Link                                   |
|                     | 15. Please provide link to the document describing the results of the regular assessment of the long-term stability and homogeneity of the TCDR.                                                                                                                                                                                               | Link                                   |
|                     | 16. Against which satellite/instrument combination has the dataset been intercalibrated?                                                                                                                                                                                                                                                       | Satellite menu<br>Instrument menu      |
|                     | 17. Has the compliance status of the TCDR with the GCOS requirements been assessed?                                                                                                                                                                                                                                                            | Yes/No                                 |
|                     | 18. Has the degree of compliance with the GCOS guidelines been assessed through an independent "Peer Review" process?                                                                                                                                                                                                                          | Yes/No                                 |
|                     | 19. Has a quantitative maturity index been applied to the dataset (if so please provide link to document describing the application of the index)                                                                                                                                                                                              | Yes/No                                 |
| DATASET ACCESS      | 0. Dataset Contact Name                                                                                                                                                                                                                                                                                                                        | Text field                             |
|                     | 1. Email contact for access to dataset(s)                                                                                                                                                                                                                                                                                                      | Text field                             |
|                     | 2. Are FCDRs as well as TCDRs available to the user community?                                                                                                                                                                                                                                                                                 | Yes/No                                 |
|                     | 3. What formats are available for the dataset?                                                                                                                                                                                                                                                                                                 | Data Format menu                       |
|                     | 4. Please describe data access conditions                                                                                                                                                                                                                                                                                                      | Data Dissemination Menu                |
|                     | 5. What dissemination mechanisms are available for the dataset? For example, FTP, internet download, mail.                                                                                                                                                                                                                                     | Text field                             |
|                     | 6. Please provide link to documentation provided with the dataset                                                                                                                                                                                                                                                                              | Link                                   |
|                     | 7. What is the timeliness commitment of data release to the user community in months?                                                                                                                                                                                                                                                          | Number field                           |

# How will we use the ECV Committee on Earth Observation Satellites

## Inventory

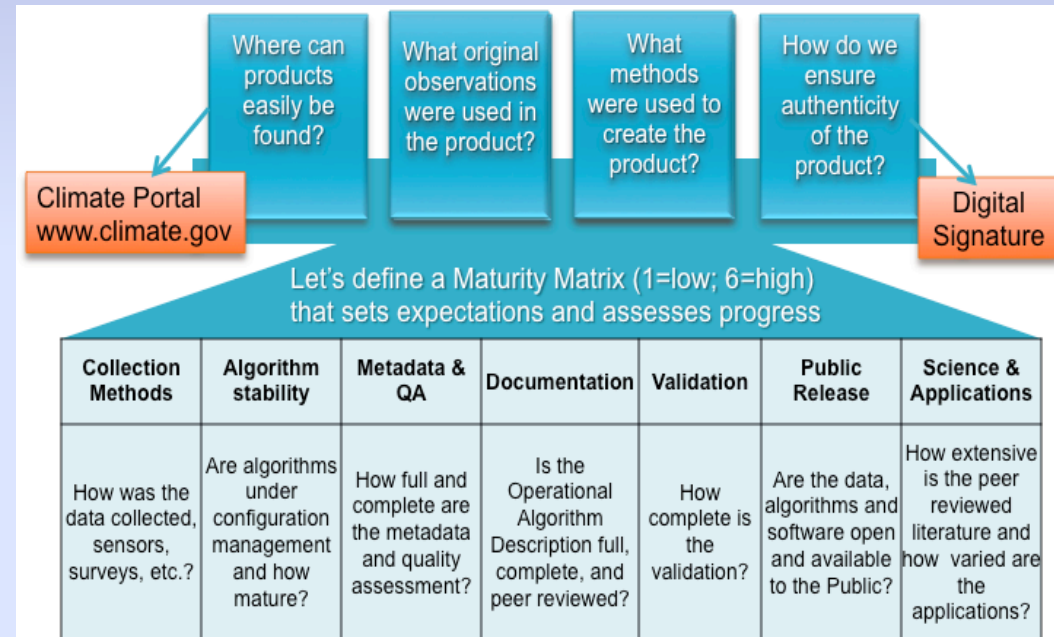


1. Describes the current and planned monitoring capability on an ECV basis (allow easier response to e.g. GCOS IP)
2. combined perspective of the logical and physical views should enable the definition of an optimum “macroscale” space system configuration and its components
3. used at the ECV/product level to identify gaps and shortfalls
4. formulation of a coordinated action plan to address such gaps and shortfall
5. trigger for the medium-term activities that need to be undertaken to sustain the long-term implementation of the architecture

# Maturity Matrix



- Ultimate ambition – derive a CEOS endorsed Maturity Matrix
- Starting point - NOAA effort
- Create a task within WGClimate, lead by research agencies (EL, PL), to review/modify improve
- One size may not fit all
- It is as much a tool to monitoring progress as it is to provide a snapshot of current capability



# Way Forward



Define, Validate and Obtain  
Consensus on Overall Approach



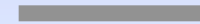
Describe Current and Planned  
Implementation Arrangements  
(ECV-by-ECV) within the Physical  
Architecture



Use the Physical Architecture to  
Develop a Coordinated Action  
Plan to Address Identified Gaps/  
Shortfalls

Short-term  
(within 2 years)

Medium-term  
(2-4 years)





# Relationship with WCRP

- There are different activities ongoing within WCRP (e.g. in GEWEX, WOAP -> WDAC) which are extremely compatible with WGClimate priorities:
  - ECV Inventory/Physical Architecture – CEOS lead (?) WDAC support
  - Assessments – WCRP/WDAC lead (?) CEOS support/resources

# Discussion points for this meeting (compiled by Joerg Schulz)



1. How can the inventory be extended for in situ data and who should do that?
2. Can WDAC develop a framework for an independent assessment of CDR quality that involves best scientific knowledge?



