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The unique challenges of middle atmosphere data assimilation

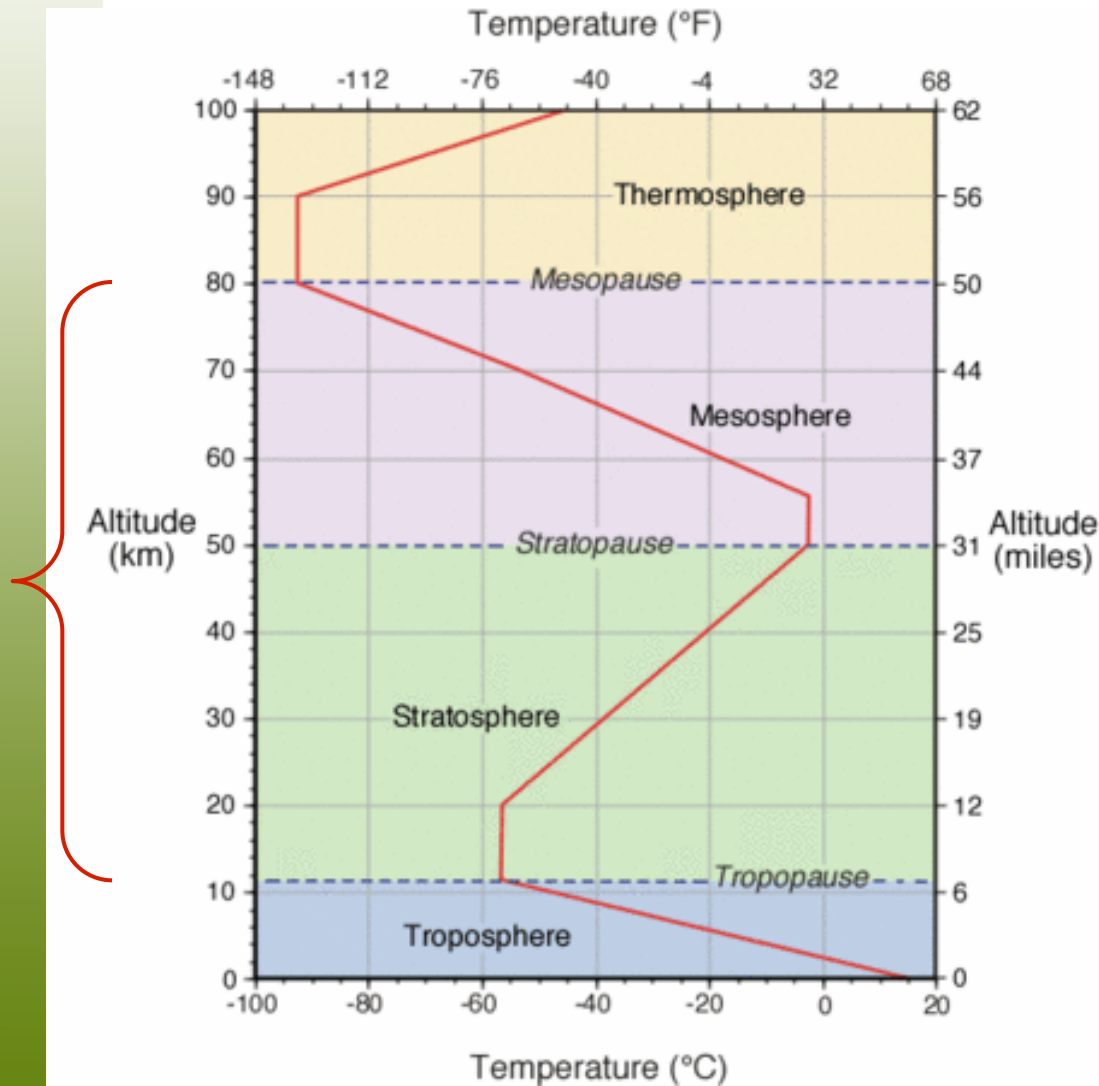
Saroja Polavarapu
Climate Research Division
Environment Canada, and,
University of Toronto



Fourth International Reanalysis Conference, 9 May 2012

The middle atmosphere

<http://www.physicalgeography.net>

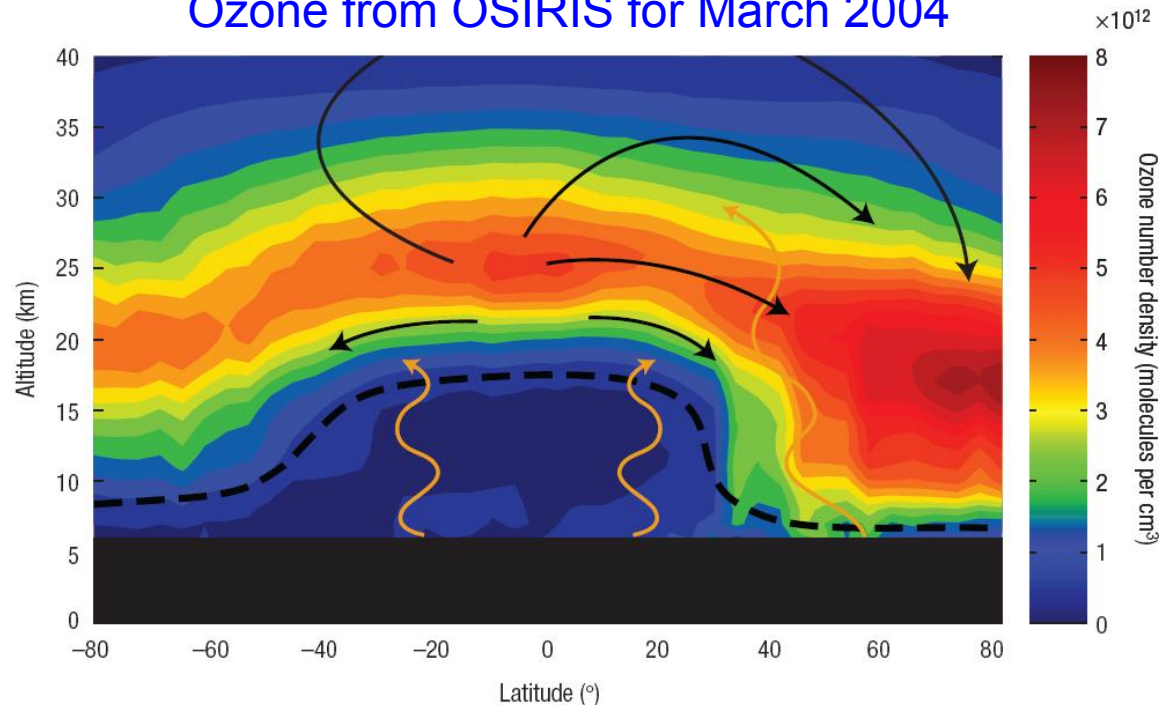


- Model lids raised to 80 km at operational centers in past 10 years
- Better assimilate satellite radiances with sensitivities to 0.1 hPa
- **Some reanalyses include stratosphere and mesosphere**
- New challenges for data assimilation related to dynamics of this region

Stratospheric meridional circulation

Shaw and Shepherd (2008)

Ozone from OSIRIS for March 2004



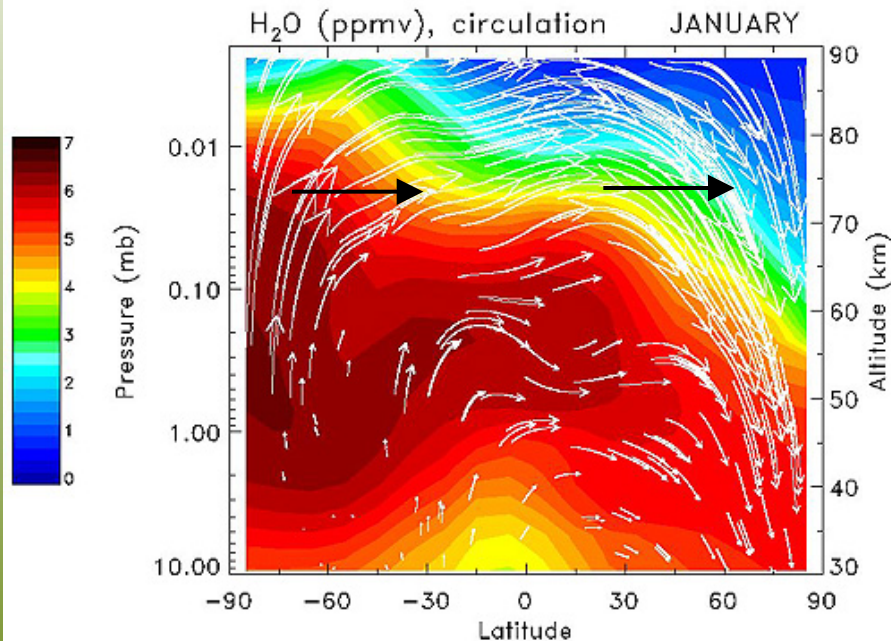
- Brewer-Dobson circulation
 - Stratospheric wave driven circulation, thermally indirect
 - warms the winter pole
 - affects temperature, transport of species



Mesospheric meridional circulation

<http://www.ccpo.odu.edu/~lizsmith/SEES/>

Drag on easterlies Drag on westerlies
Equatorward motion Poleward motion



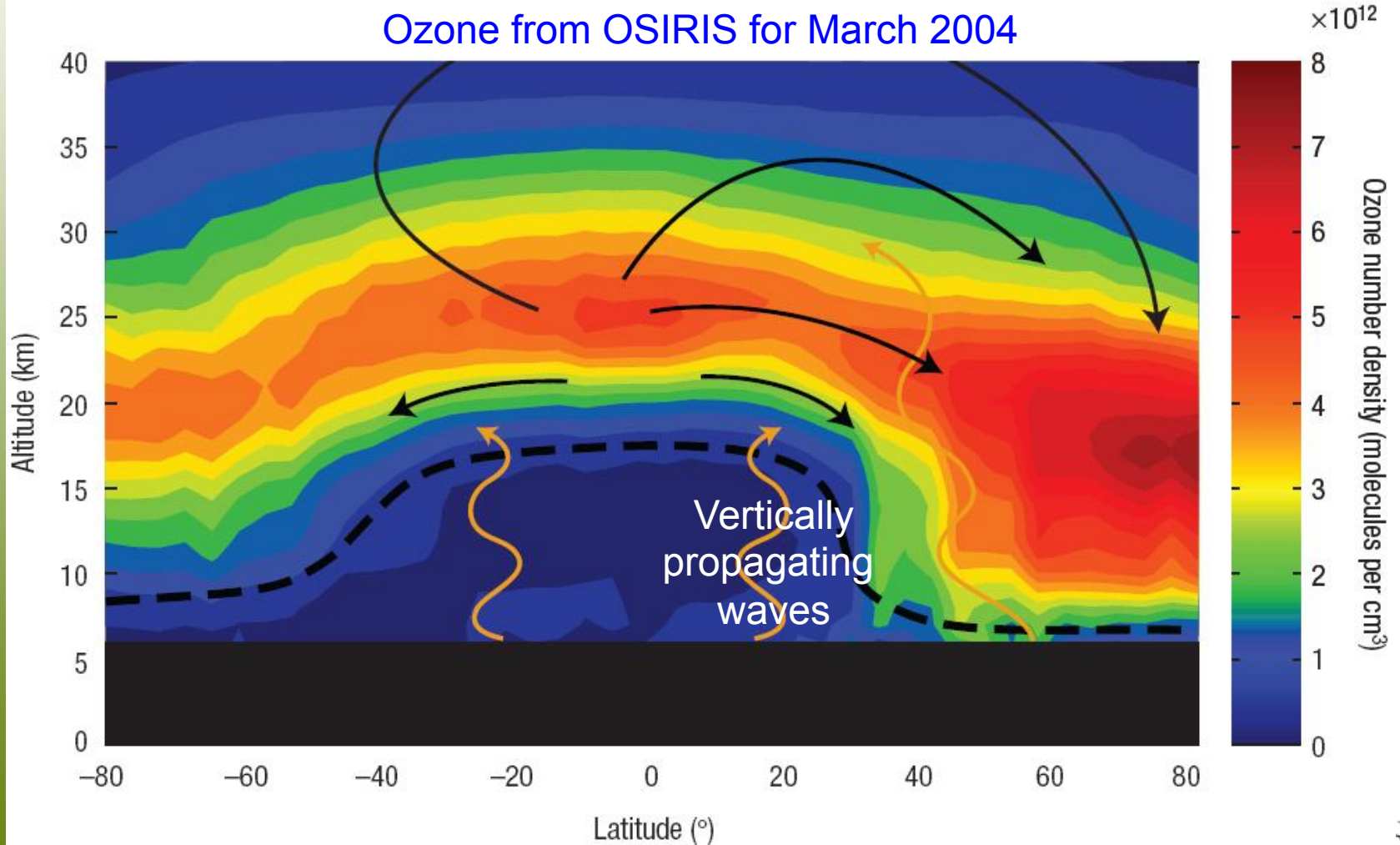
Zonally averaged water vapor distribution for January

- Winter: westerly zonal flow filters eastward GWs yielding net westward drag, poleward motion
- Summer: easterly flow filters westward GWs yielding net eastward drag, equatorward motion
- By continuity, upwelling over summer pole, downwelling over winter pole
- Gravity wave drag drives this pole-to-pole circulation seen in the water vapour plot



Wave driven circulation

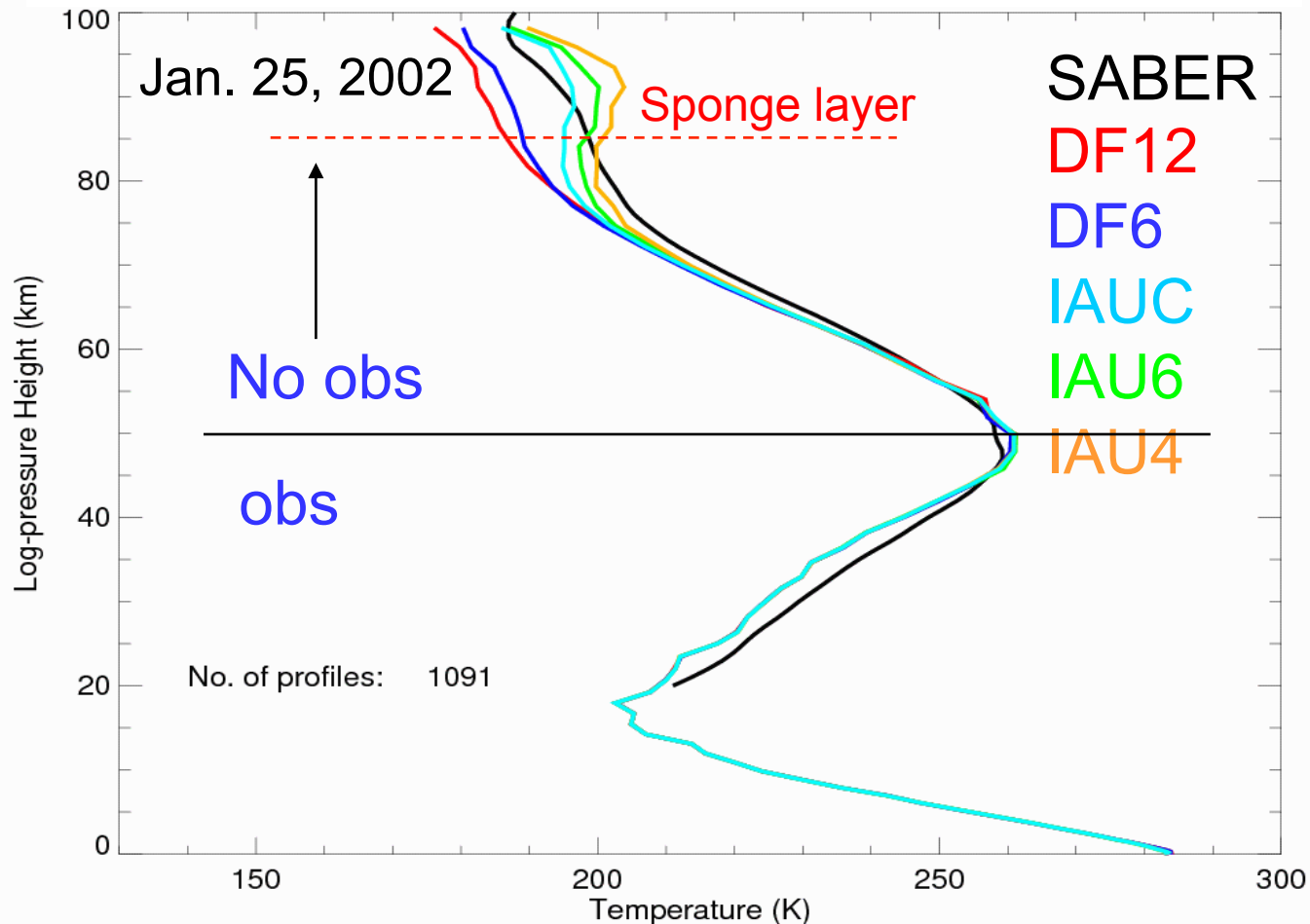
Shaw and Shepherd (2008)



Filtering of tropospheric increments affects global mean mesopause temperatures!

Sankey et al. (2007)

Global mean temperature profiles at SABER locations for various filtering options



-
- Waves (real or spurious) in the troposphere propagate up to the mesosphere and impact the zonal mean flow, or even global mean fields
 - Information is propagating up to the middle atmosphere through resolved waves
 - Choice of filtering aimed at controlling noise in tropospheric analyses can impact amplitude of migrating diurnal tide in mesosphere (Sankey et al. 2007)
 - Sensitivity of mesosphere can be used to “tune” filter parameters (Sankey et al. 2007)

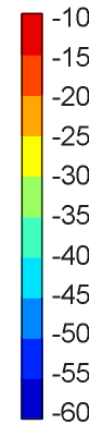
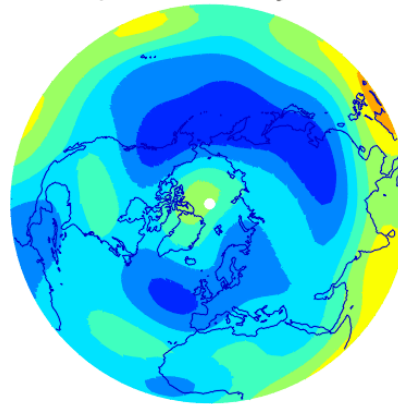


Assimilating data below the mesosphere improves large scales in mesosphere

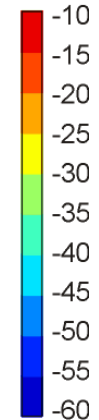
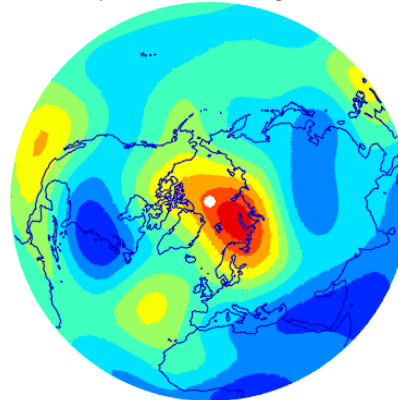
Nezlin et al. (2009)

Temperature at 65 km spectrally truncated to T10

b) truth, day 0



e) truth, day 31



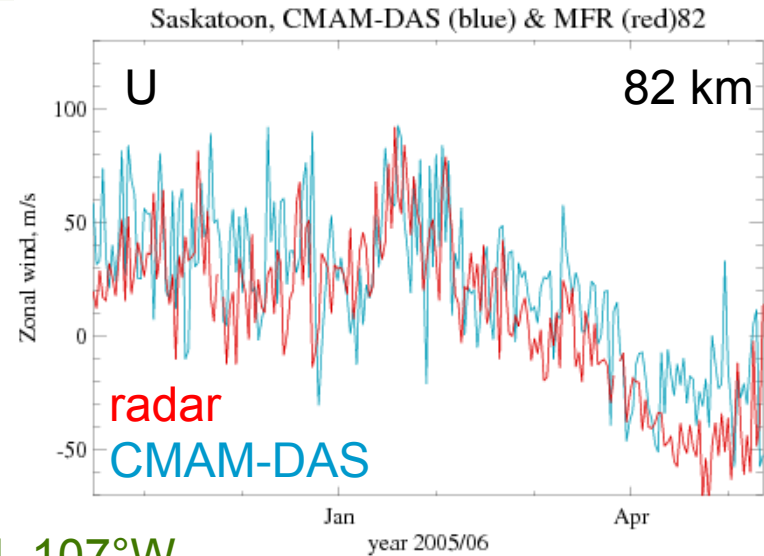
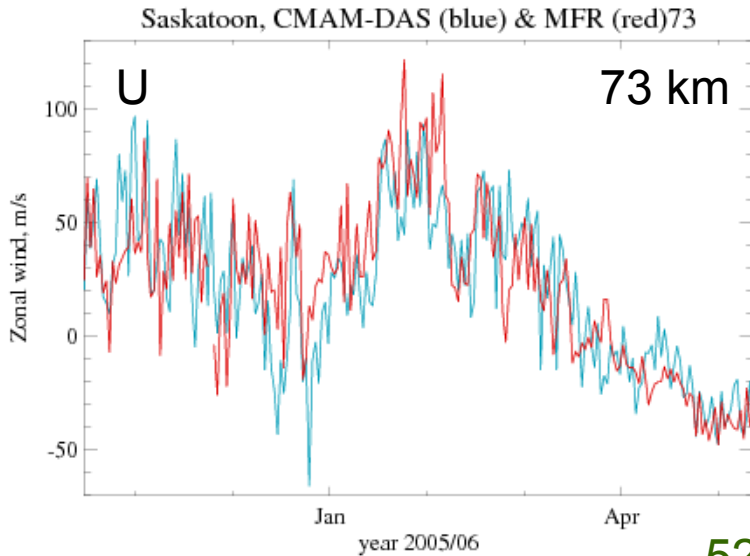
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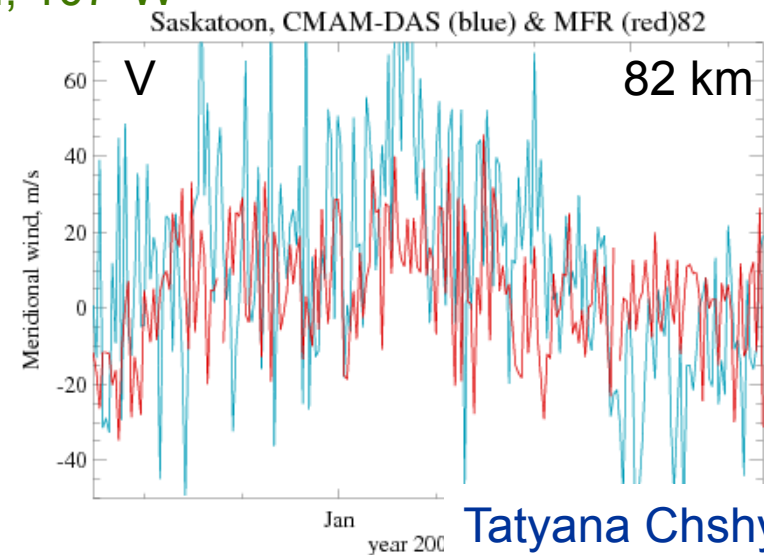
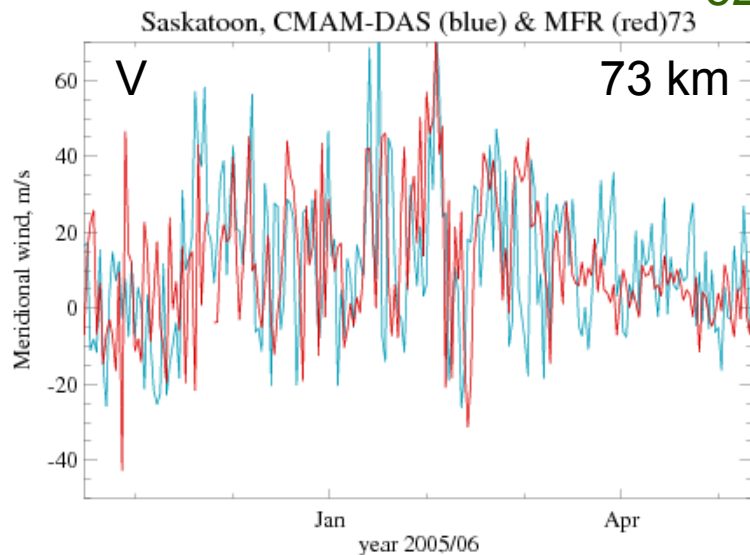
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Mesospheric analyses have some value even when obs only below 45 km

Compare CMAM-DAS to Saskatoon radar winds at noon



52°N, 107°W



Expect bias in stratosphere

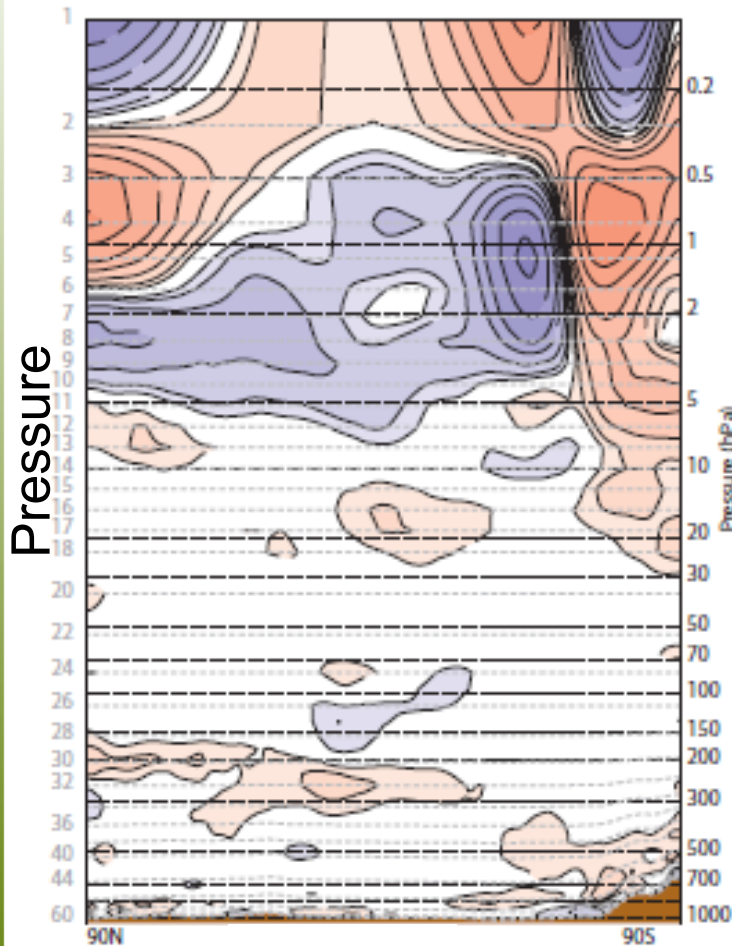
- Since not all waves will be correctly analysed, and some waves are forced by uncertain parameterizations, we should expect errors in forcing of meridional circulation
- Errors in forcing of meridional circulation will create a latitudinally varying bias
- Measurements (e.g. nadir sounders) also have bias
- Obs bias corrections schemes often assume forecasts are unbiased



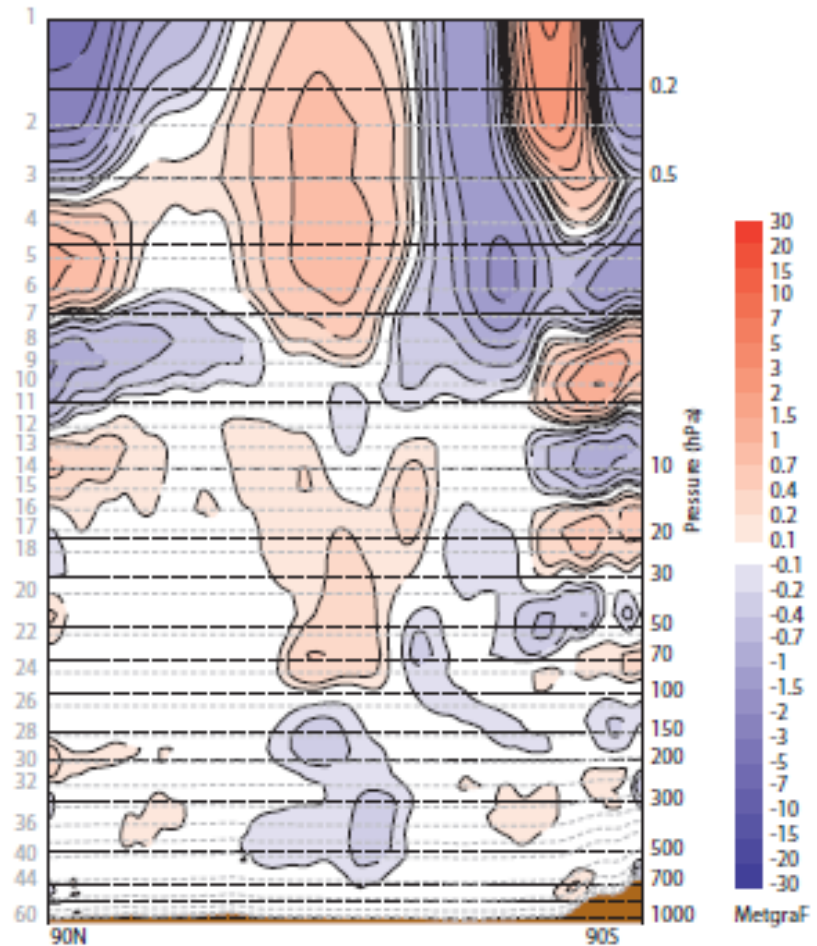
Zonal mean temperature analysis increments for August 2001

Dee and Uppala (2008)

ERA-Interim



ERA-40



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Latitude
Environment
Canada

Latitude

Canada

Variational bias correction

Derber and Wu (1998)

Model for bias

$$\mathbf{b}(\boldsymbol{\beta}, \mathbf{x}) = \sum_{i=0}^{N_P} \beta_i \mathbf{p}(\mathbf{x}_i)$$

Model state Bias parameters predictors

$$J(\mathbf{x}, \boldsymbol{\beta}) = (\mathbf{x}^b - \mathbf{x})^T \mathbf{B}_x^{-1} (\mathbf{x}^b - \mathbf{x}) + (\boldsymbol{\beta}^\beta - \boldsymbol{\beta})^T \mathbf{B}_\beta^{-1} (\boldsymbol{\beta}^\beta - \boldsymbol{\beta})$$

$$+ (\mathbf{y} - \mathbf{h}(\mathbf{x}) - \mathbf{b}(\mathbf{x}, \boldsymbol{\beta}))^T \mathbf{R}^{-1} (\mathbf{y} - \mathbf{h}(\mathbf{x}) - \mathbf{b}(\mathbf{x}, \boldsymbol{\beta}))$$

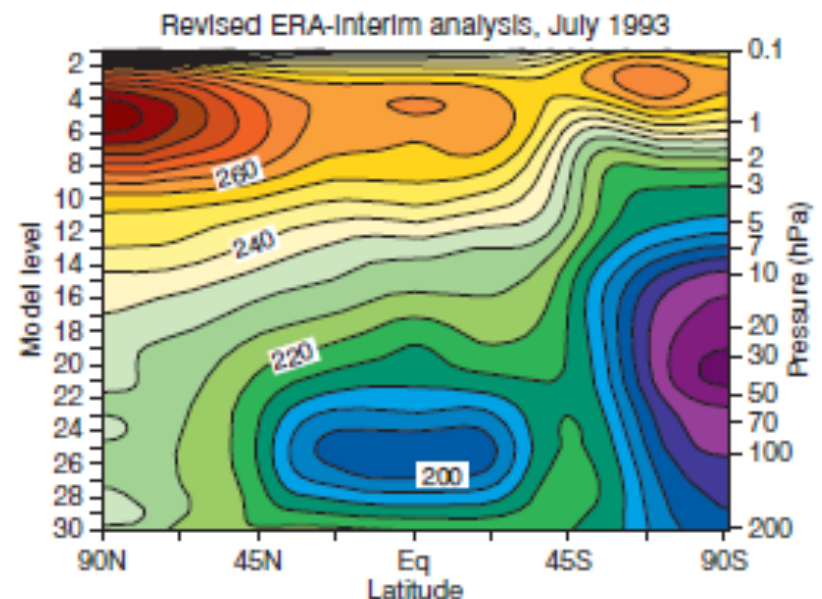
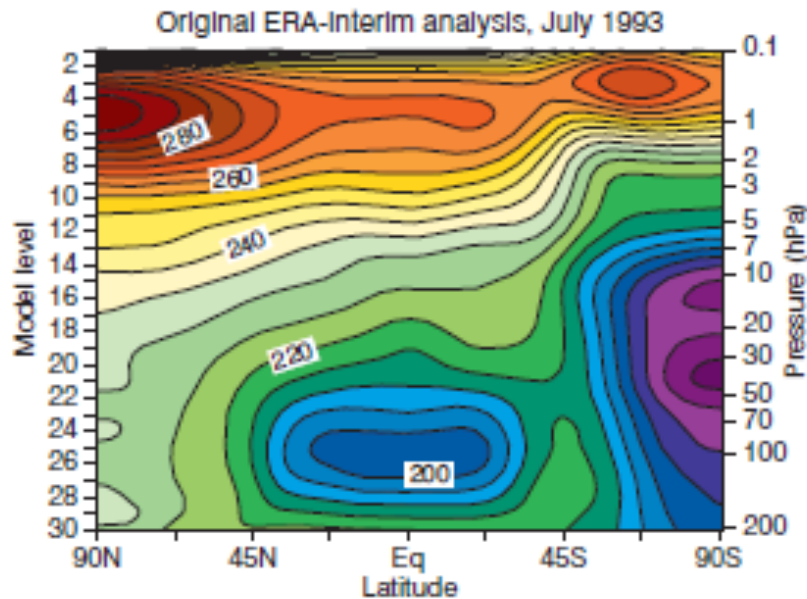
Bias parameters are determined using fit to all observations

Bias correction will adjust for bias in observations (\mathbf{y}), obs operator (\mathbf{h}), and model state (\mathbf{x})

Do not bias correct obs at model top

Dee and Uppala 2008

- Bias correction for SSU ch. 3 (peak ~2 hPa) too large compared to accuracy of instrument
 - Assume SSU correct. Do not bias correct it (except scan angle bias)
 - Zonal mean temperature reduced. (Model forecast was biased warm)
- In general: anchor analyses at top using uncorrected data (SSU ch. 3 or AMSU ch. 14)



Vertically propagating waves and their relevance to data assimilation

- Tropospheric waves (whether correctly simulated or not) impact zonal mean flow in strat/mesosphere
 - Random signals (waves) can produce nonlocal systematic errors (zonal mean bias)
- Since not all waves are correctly simulated, we should expect bias (errors in zonal mean) in meso/stratosphere
 - Implications for obs bias corrections schemes that assume background is unbiased
- Mesosphere is sensitive to errors in tropospheric analyses
 - Perhaps we can use sensitivity to help choose assimilation parameters in troposphere
- Information propagates up (through resolved waves)
 - Some of large scales in mesosphere can be improved even with no mesospheric obs if tropospheric wave forcing is captured

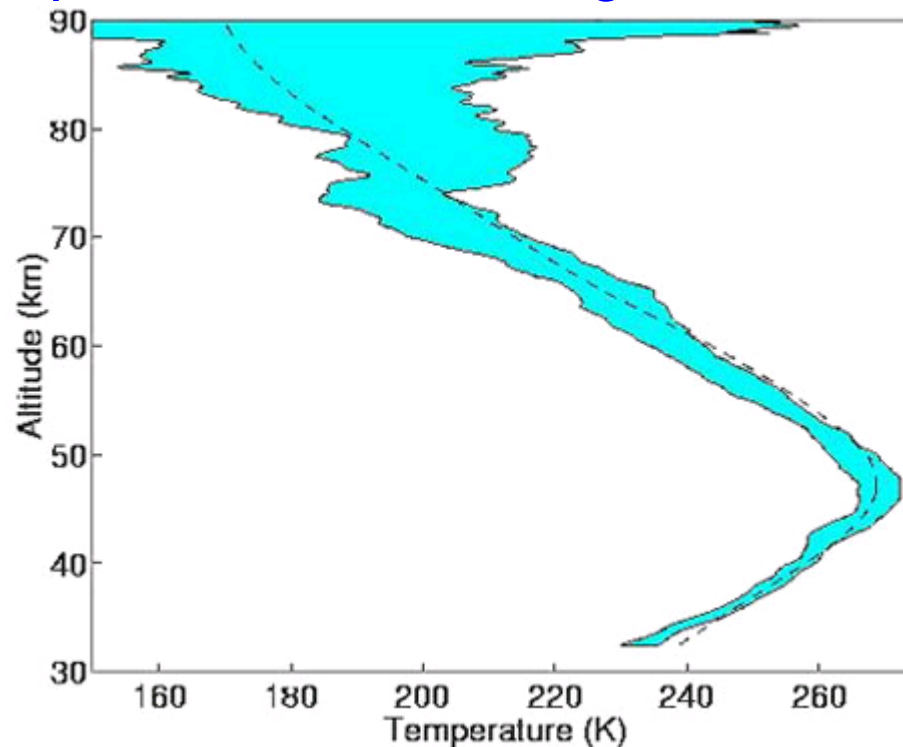


Gravity waves in the mesosphere



Gravity waves may be a nuisance in the troposphere, but they are prevalent in the mesosphere and are part of the signal!

T profiles over one night from lidar



Geophysical variability is much larger than instrument error

R.J. Sica (U Western Ontario)

<http://pcl.physics.uwo.ca/science/temperature/>

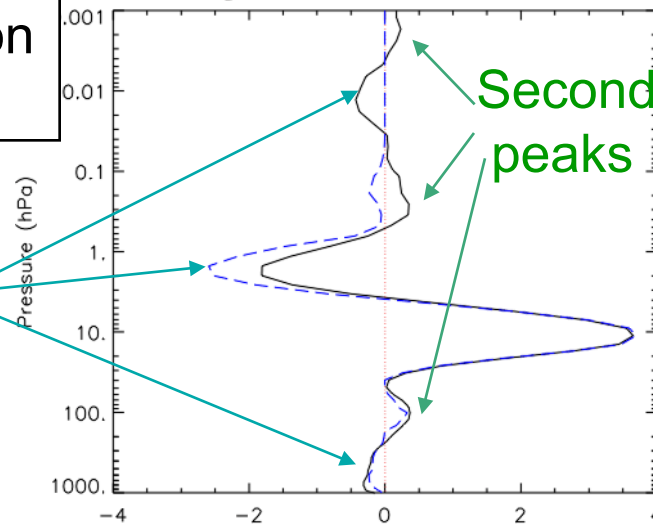


AMSU ch. 11

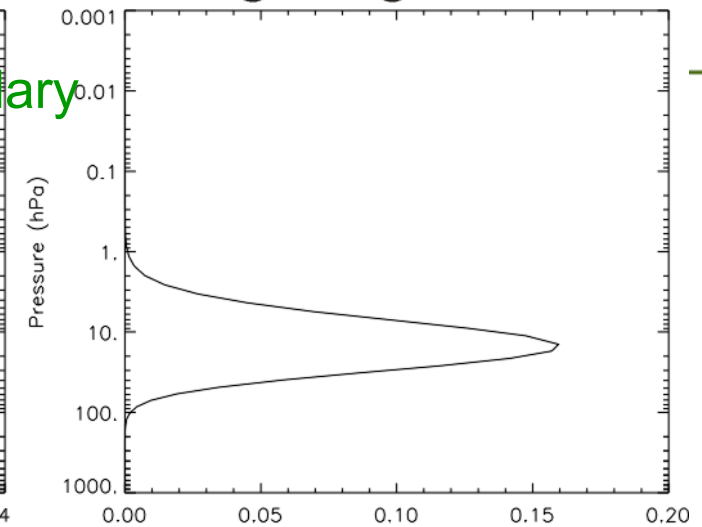
Increment involves

- Weighting function
- Vertical correlation
- Vertical distribution of variance

Analysis increment

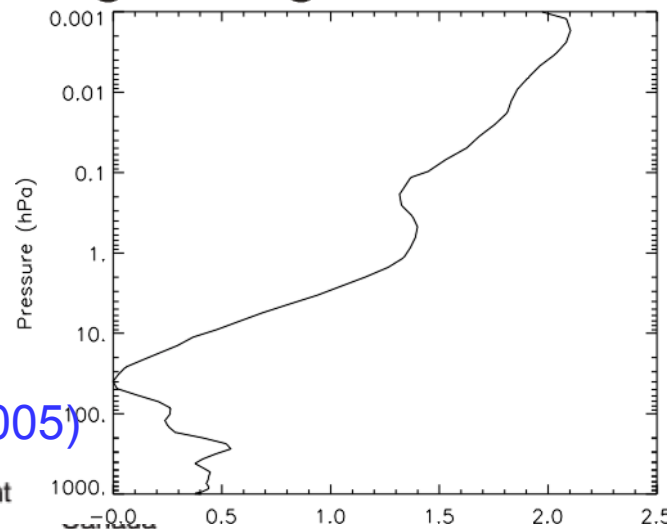


Weighting function

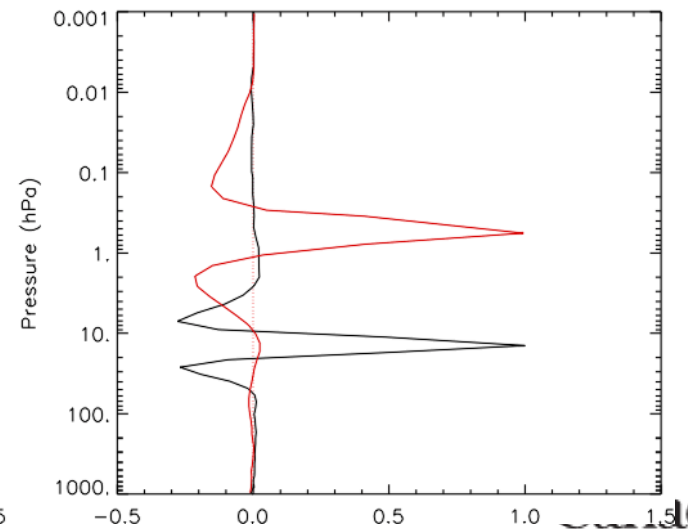


Negative incr

log10 bkrgd error var.s



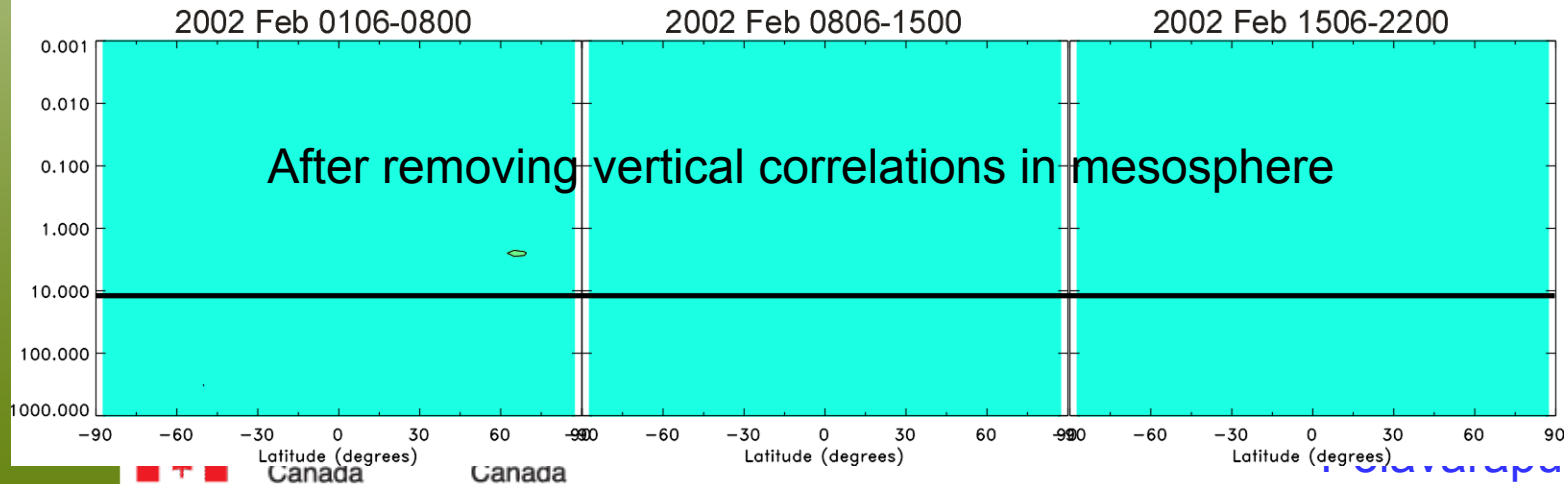
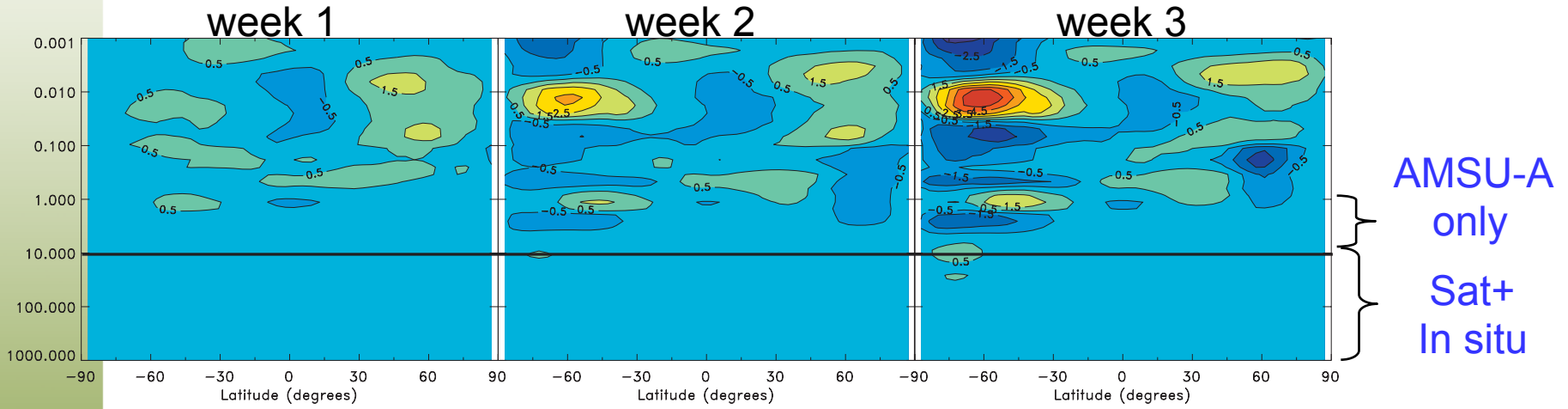
vertical correlations



Polavarapu et al. (2005)

Extreme sensitivity to correlations

Zonal and time mean analysis increment for zonal wind



Information propagation through a Gravity Wave Drag (GWD) scheme

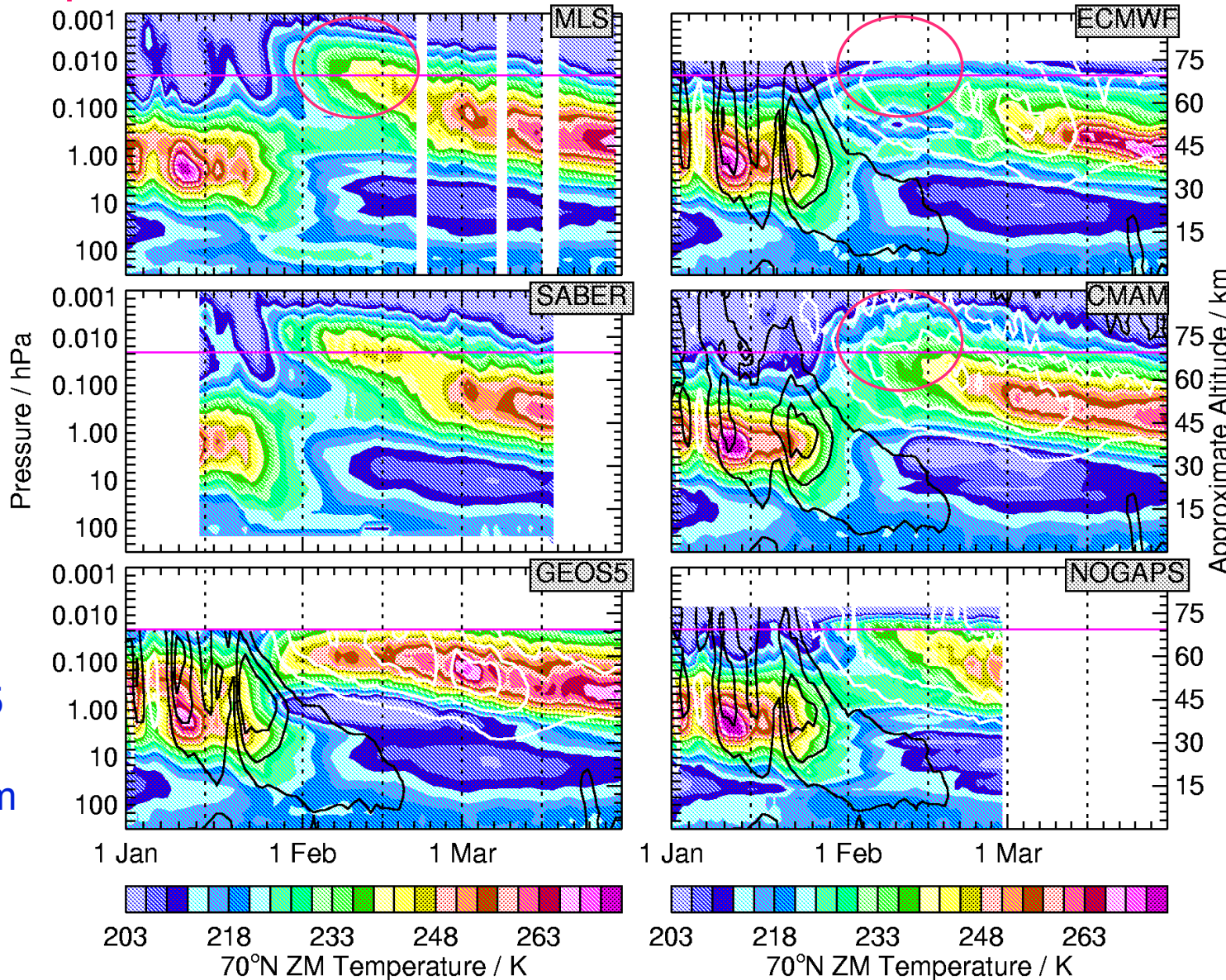
- A GWD scheme simulates the processes of gravity wave generation (in the troposphere), vertical propagation and breaking and computes a drag
- A forcing term is added to momentum equations
- Why are GWD schemes used?
 - Poor resolution of climate models means not enough gravity wave forcing of meridional circulation
 - Not enough downwelling or warming over winter pole leads to “cold pole problem”. Evident in SH where fewer PWs.
 - To solve this, effect of subgrid scale GWs on mean flow is parameterized using assumptions about GW sources in the troposphere



70°N zonal mean temperatures during 2006 SSW

Gloria Manney

Stratopause is above 0.01 hPa!

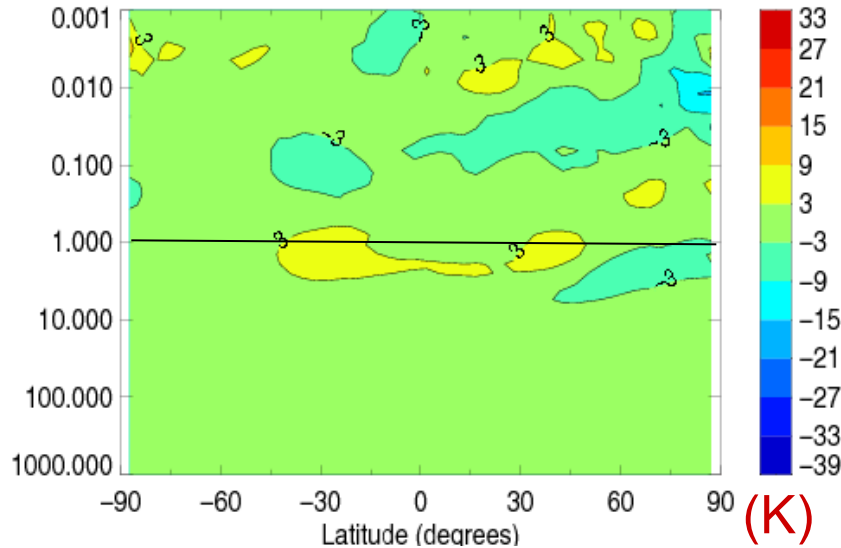


ECMWF
too low
too cold

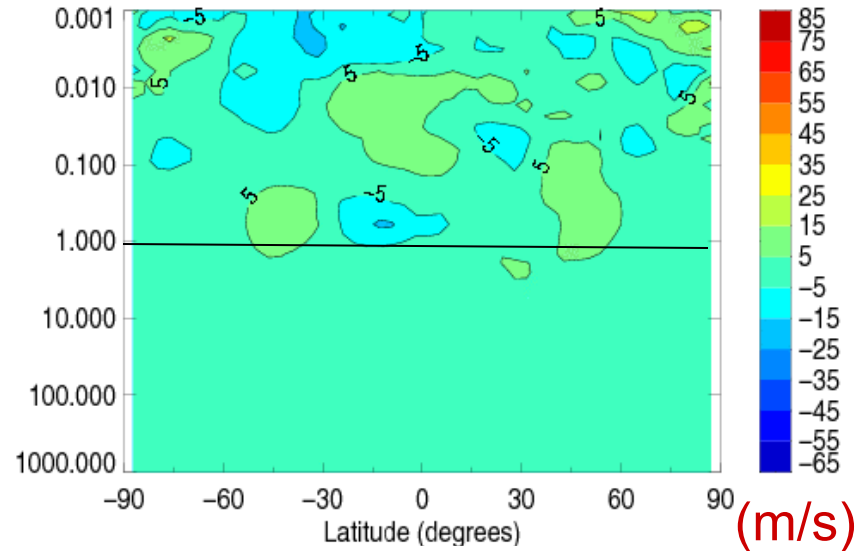
GEOS-5
too low
too warm

Zonal mean difference due to assimilation of mesospheric temperatures from SABER on 15 February 2006

Temperature



Zonal wind Ren et al. (2011)

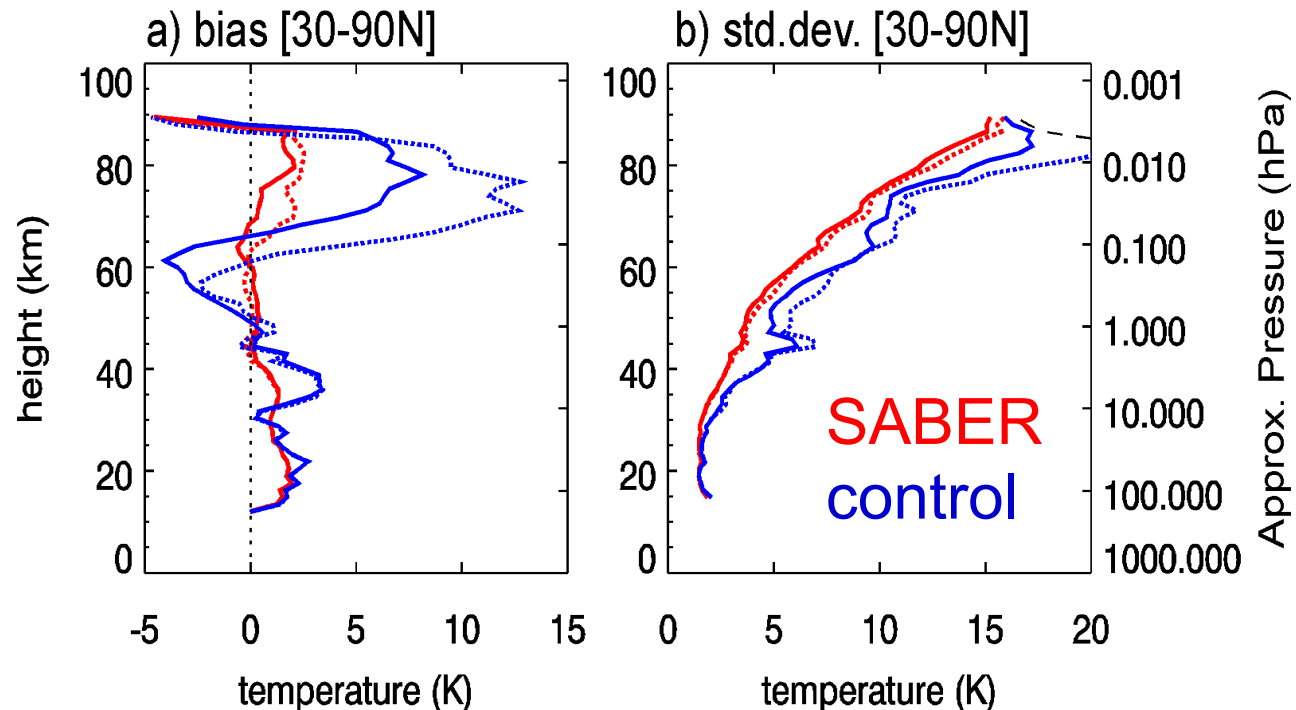


GWD improves fit to observations

Ren et al. (2011)

SABER T minus 6h forecasts (1-14 February 2006)

— with GWD
- - - w/o GWD



Impact on ECMWF forecasts

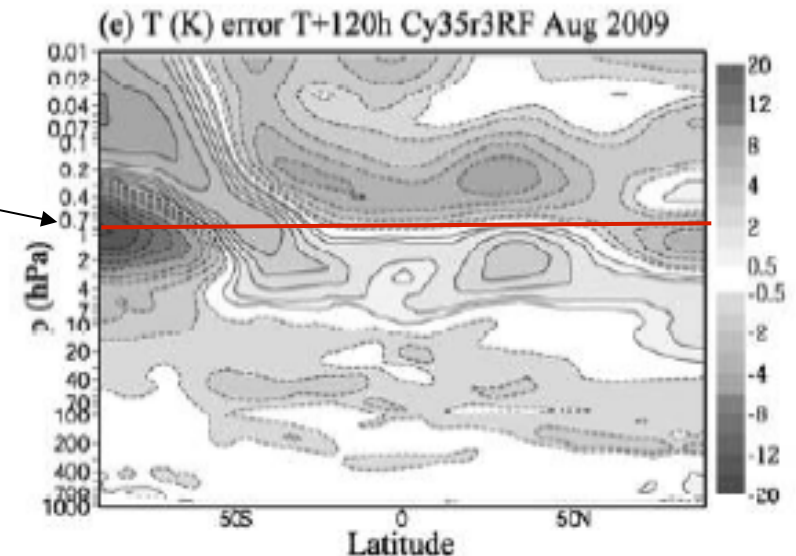
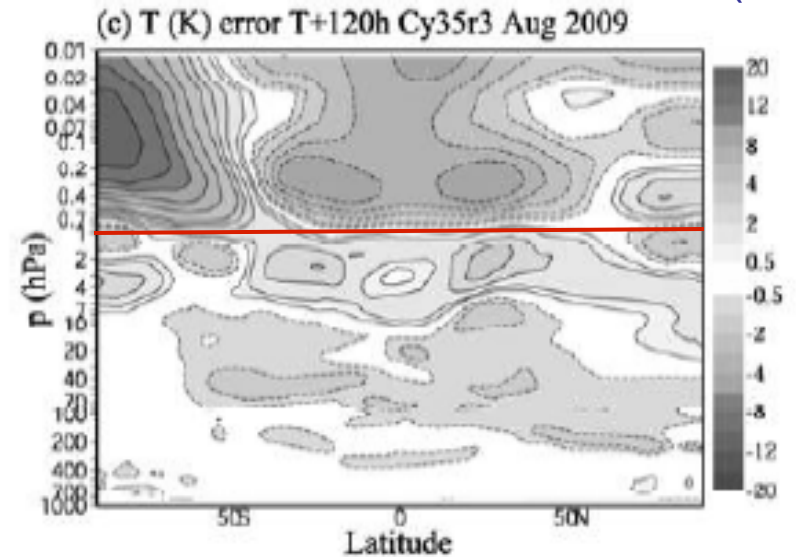
Orr et al. (2010)

Mean 5-day forecast error for Aug 2009 (ECMWF, T511L91)

Scinocca (2003)
nonoro GWD scheme

Bias at winter pole
stratopause

Rayleigh friction



Gravity waves in the mesosphere and their relevance to data assimilation

- Extreme sensitivity of mesosphere to errors in background error covariances. Can propagate information from stratosphere to mesosphere creating persistent spurious increments if forecasts are biased
- Covariances can also spread information to small vertical scales. This is risky because nadir observations lack detailed vertical information to correct erroneous structures. Need more limb obs (e.g. GPSRO, MLS)!
- Information from troposphere/stratosphere also coupled to mesosphere through gravity wave drag schemes

Summary

- Some challenges in stratospheric and mesospheric data assimilation
 - Observations (not much vertical information, no winds)
 - Bias comes from random errors! (dissipating waves → zonal flow)
 - Both models and obs are biased
 - Gravity waves are part of the signal
 - Errors propagate vertically
- Information propagation: role of model versus observations
 - Even without observations, larger scales of mesosphere are defined
 - Gravity wave drag scheme can be helpful





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EXTRA SLIDES



CMAM = Canadian Middle Atmosphere Model is a chemistry climate model (CCCma GCM3)

No obs

Nadir sounders

conventional obs + sat.

Pressure (hPa)

CMAM level

CMAM
NRL

0.0006

• 1

Thermosphere

0.001

• 5

ECMWF
Met Office
GMAO

0.01

• 10

NCEP
CMC

0.1

• 15

Mesosphere

• 20

1

• 25

Stratosphere

• 30

• 35

• 40

• 45

• 50

• 55

• 71

Troposphere

1000



Missing zonal momentum force

McLandress (1998)

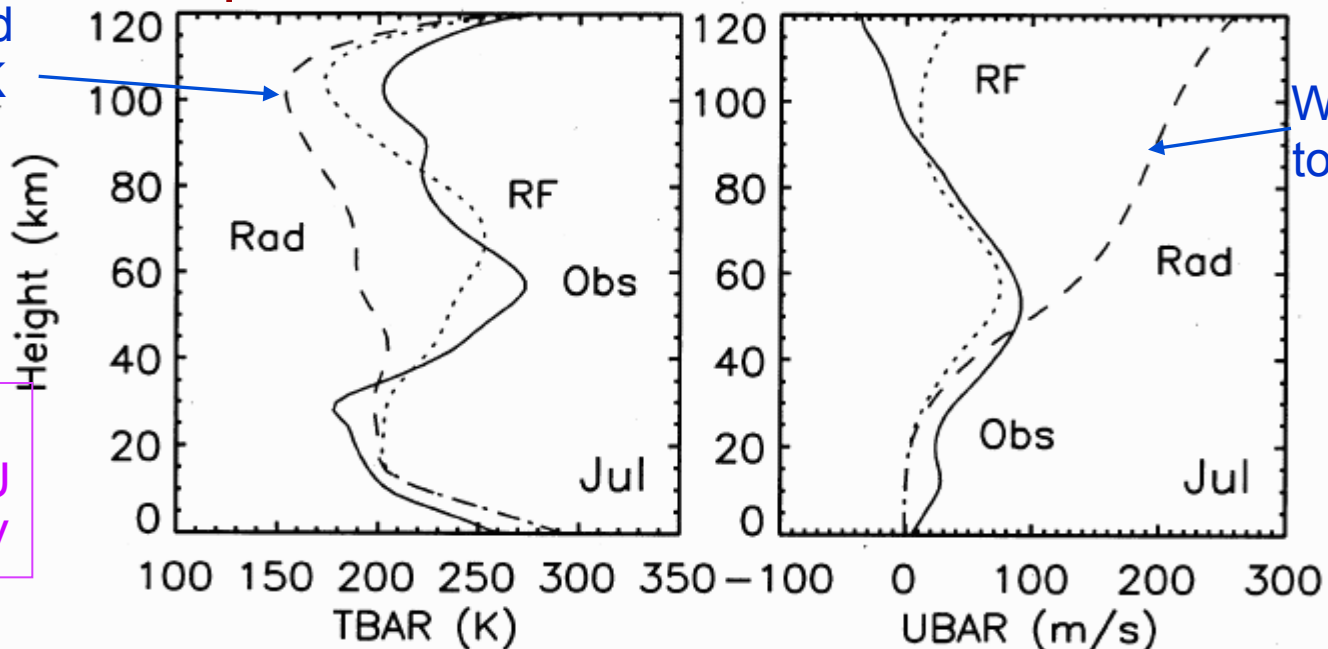
Consider 2D, steady, geostrophic, hydrostatic flow. Why is radiative equilibrium temperature much colder than that observed?

zonal mean fields in SH winter

Temperature at 90°S

Zonal wind at 40°S

Too cold by 50K

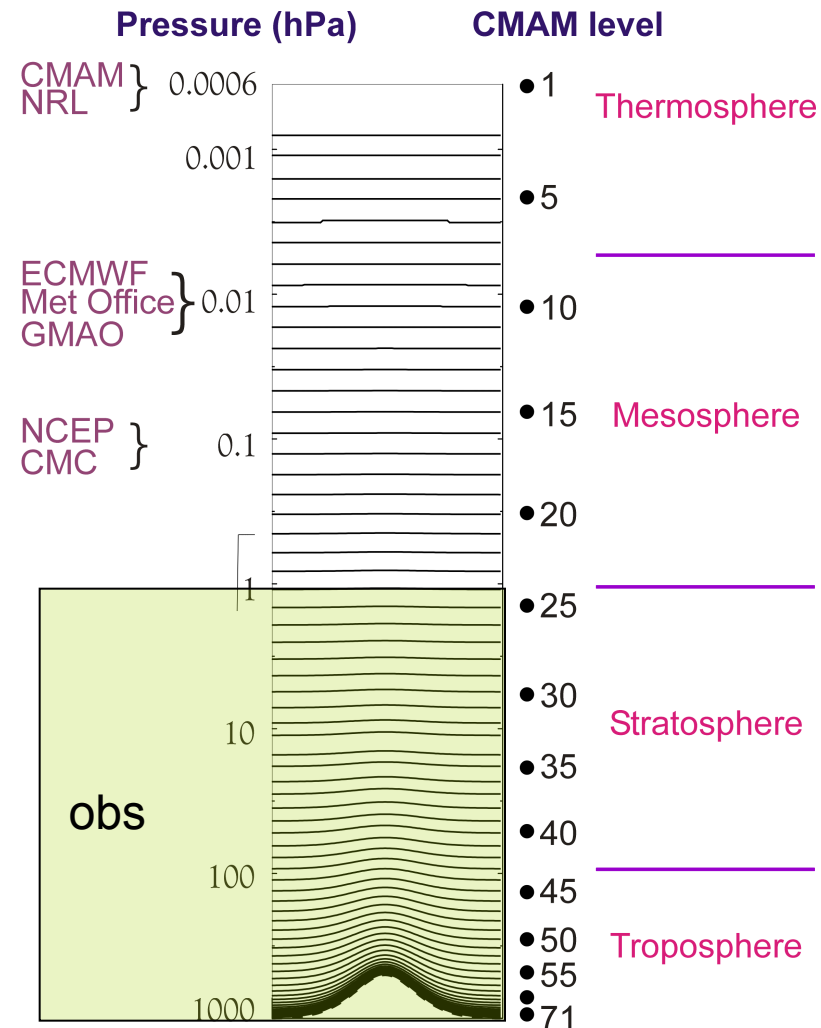


RF: add
 $F_u = K(z)U$
 $F_v = K(z)V$

Why consider the stratosphere separately from tropospheric dynamics?

- Assume we want to simulate the stratosphere
- Why should we worry about middle atmosphere dynamics? The troposphere has 80% of the mass of the atmosphere.
- Let's just raise the model lid

CMAM = Canadian Middle Atmosphere Model is a chemistry climate model (CCCma GCM3)

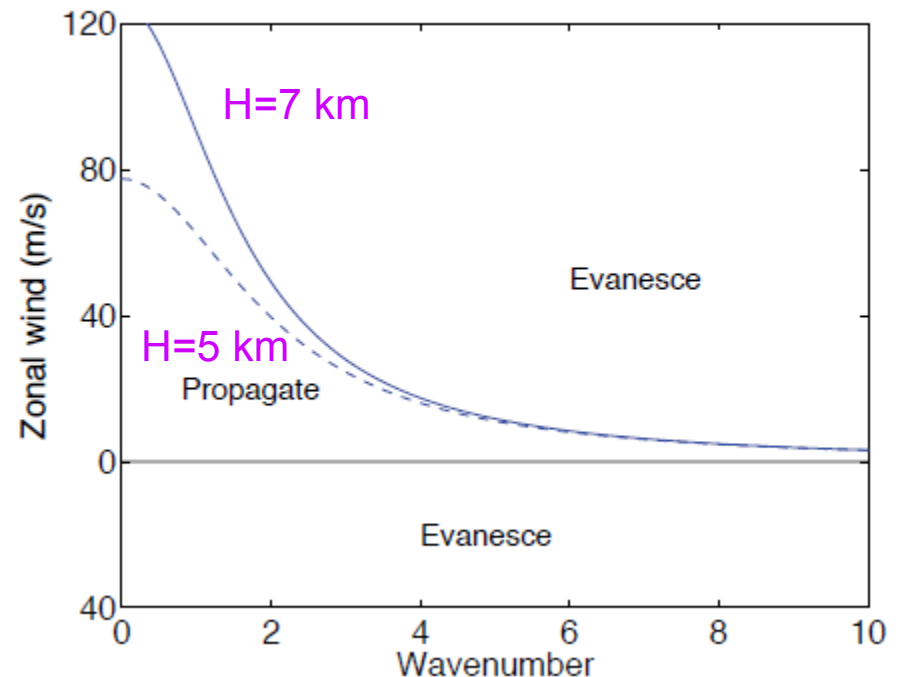


Summer versus winter

Vallis (2006)

Charney-Drazin criterion:

- For linearized Q-G PV equation forced by wave at bottom boundary, for constant U:
$$0 < U - c < U_{\text{crit}}$$
- Rossby waves can propagate vertically only in eastward winds that are not too strong.
- Large scale waves more likely to meet criterion.



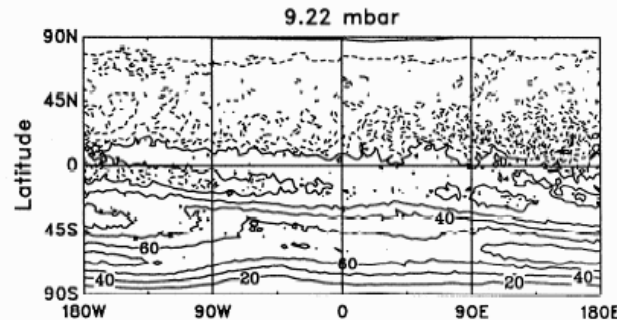
- Winter stratosphere (westerlies)
 - Dominated by large scales due to Charney-Drazin filtering
- Summer stratosphere (easterlies)
 - Rossby waves can't prop vertically due to critical level filtering



Zonal wind snapshot

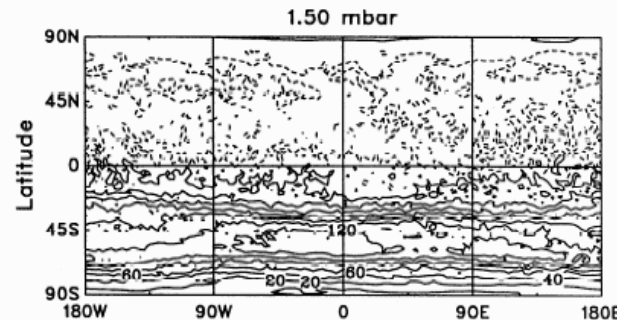
Koshyk et al. (1999)

July 9 SkyHi fields

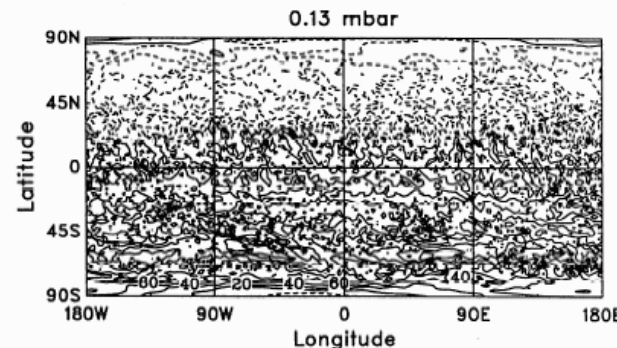


Middle
stratosphere

Dominated by
large scales



Stratopause



Mesosphere

GWs are
Important!

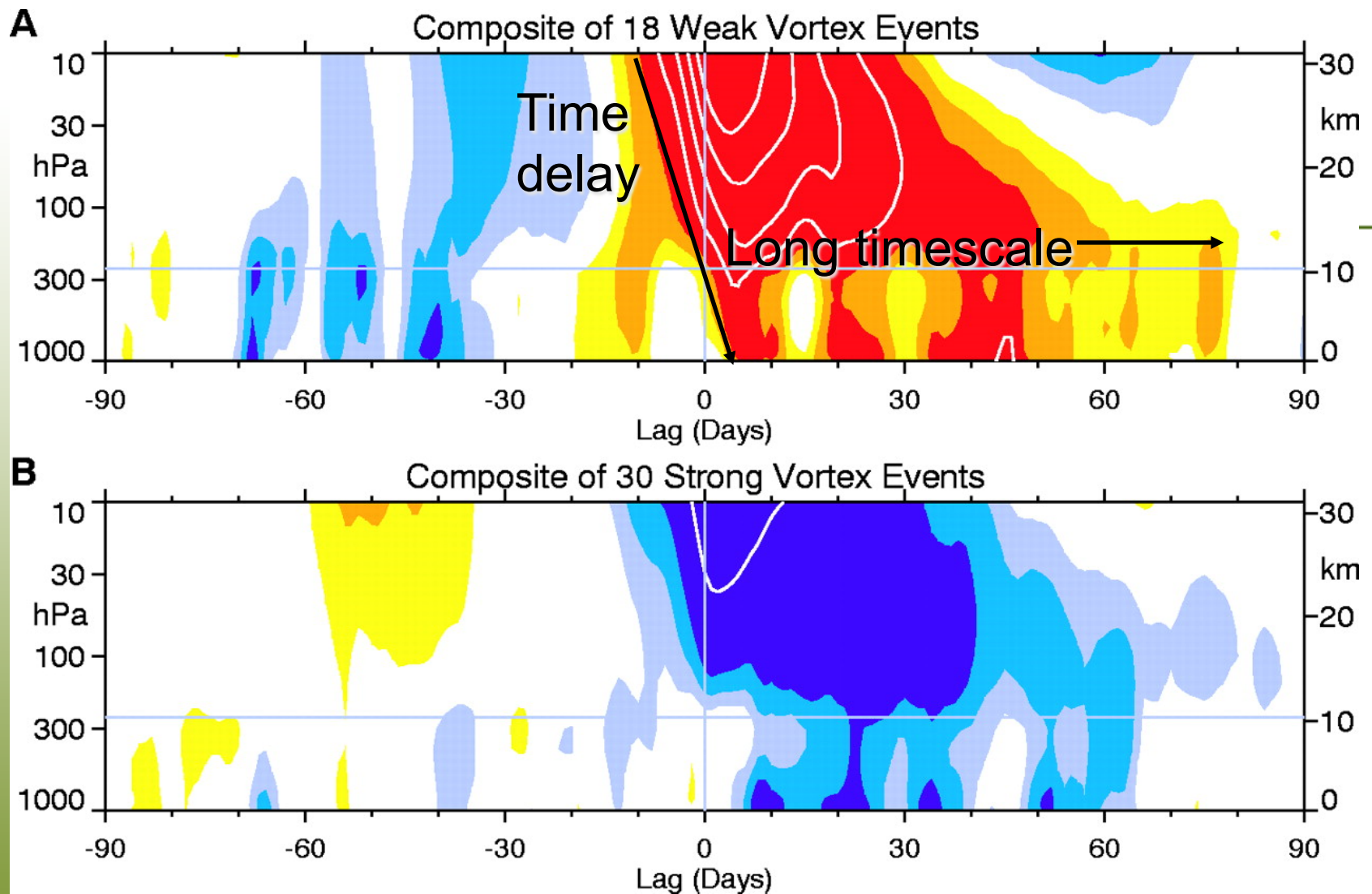
contours:
20 m/s (pos)
10 m/s (neg)



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The events are determined by the dates on which the 10-hPa annular mode values cross -3.0 and $+1.5$, respectively.

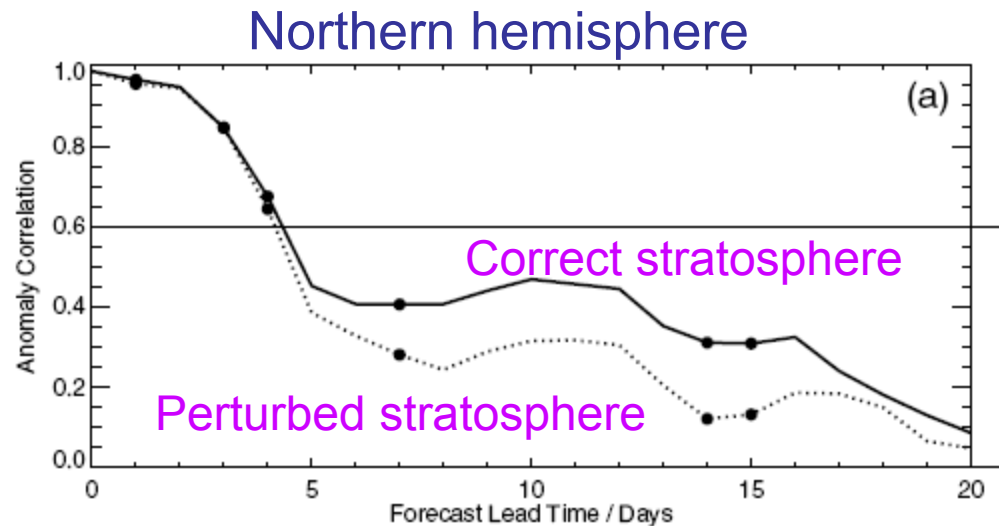
Baldwin and Dunkerton (2001)

A good stratosphere can help improve tropospheric forecast skill

Charlton et al. (2005)

- Improve 10-15 day forecasts

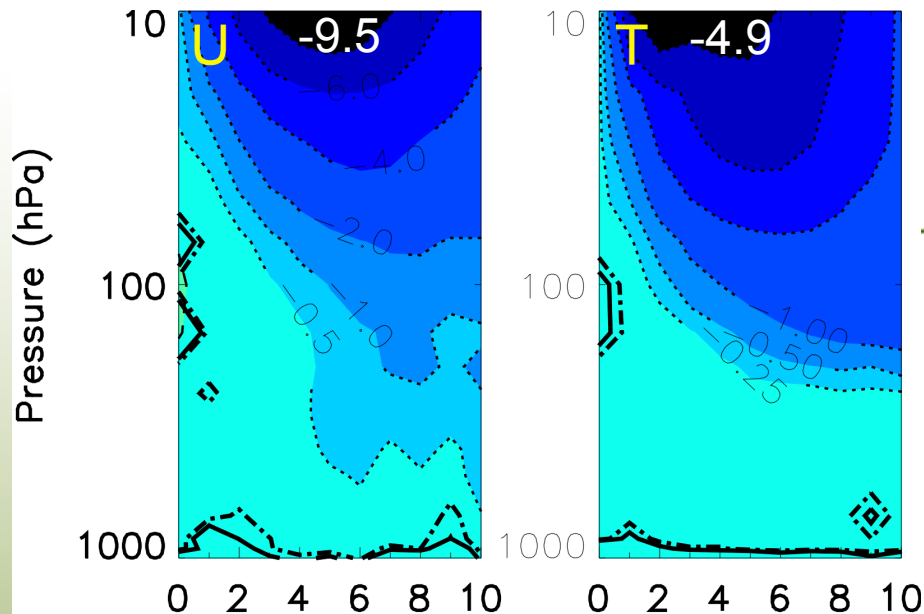
500 hPa height anomaly correlation



Winter polar dynamics



NH winter

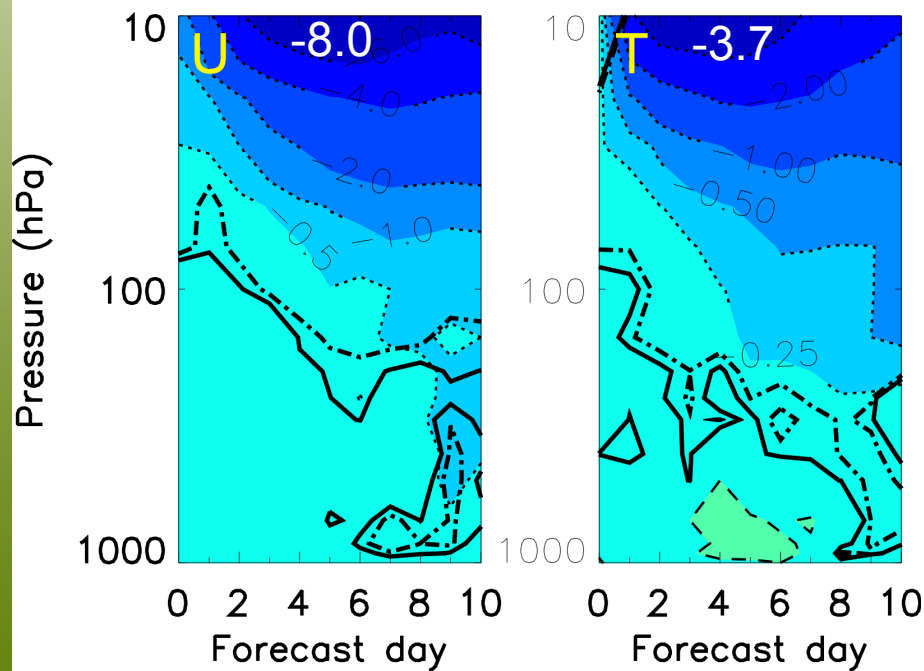


Improvement in
forecast error stddev

Winter NH

Dec. 26 – Feb. 2, 2007 (77 cases)

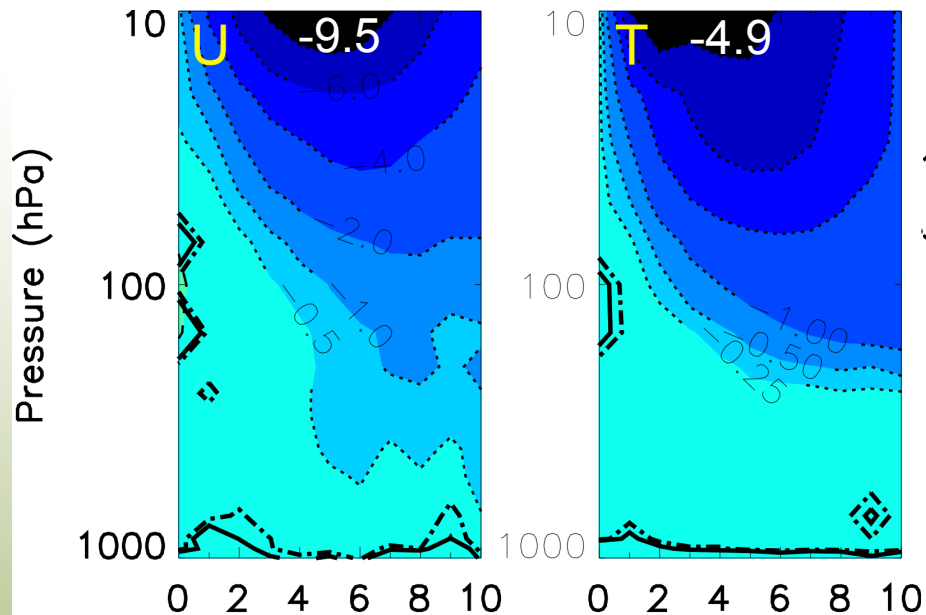
SH winter



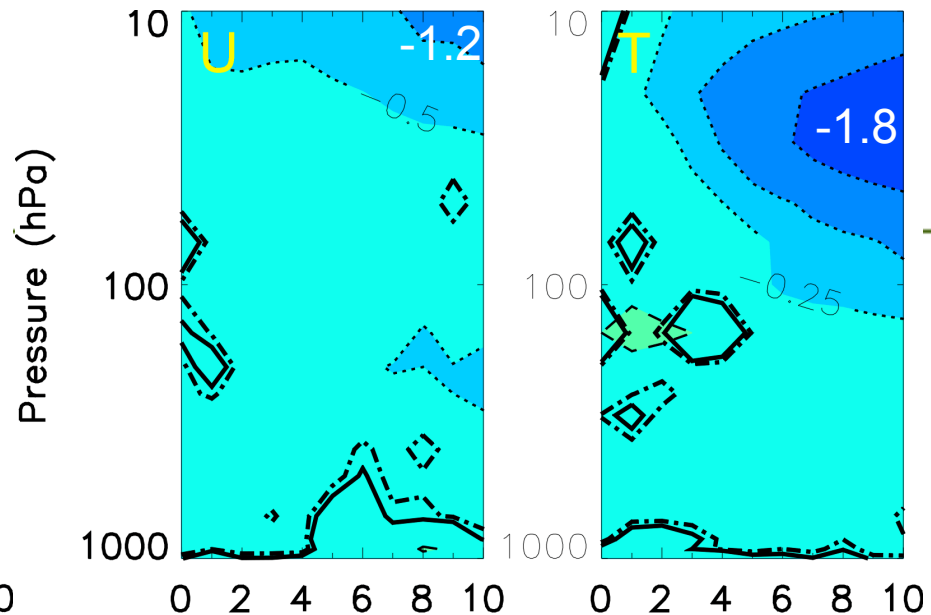
Winter SH

June 22 – Aug. 21, 2006 (122 cases)

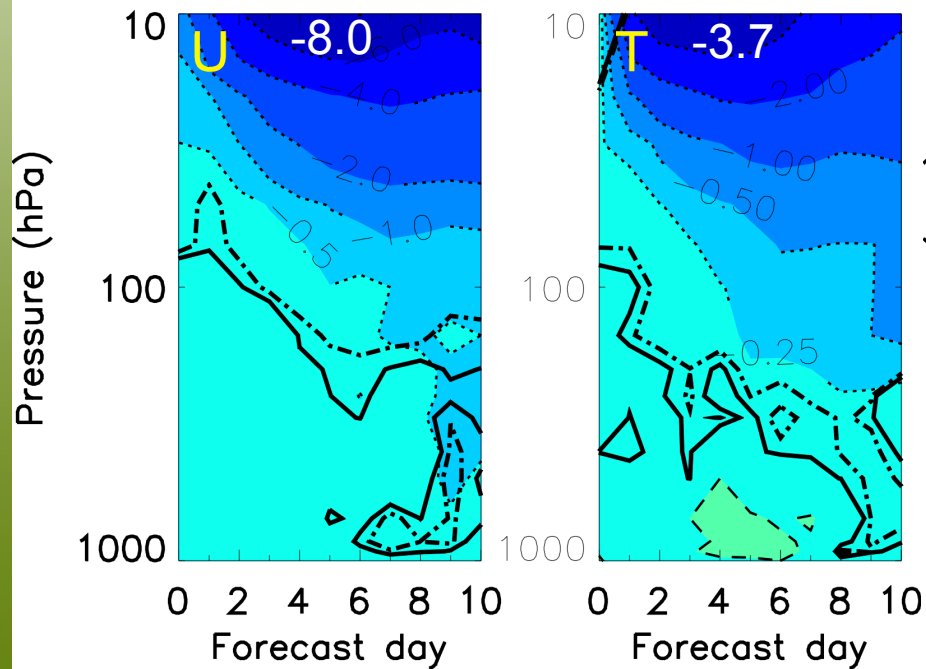
NH winter



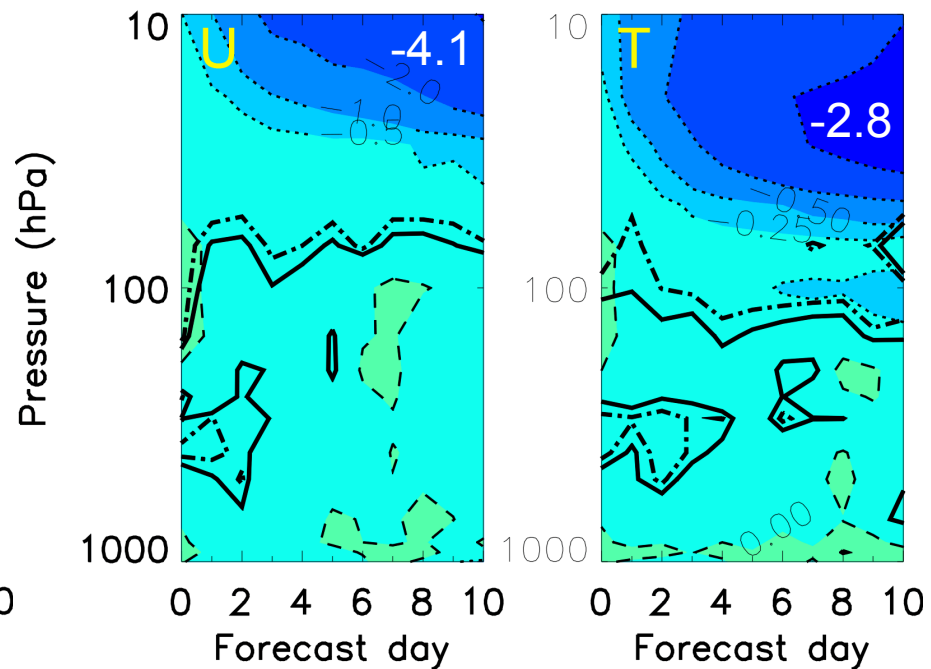
NH summer



SH winter



SH summer

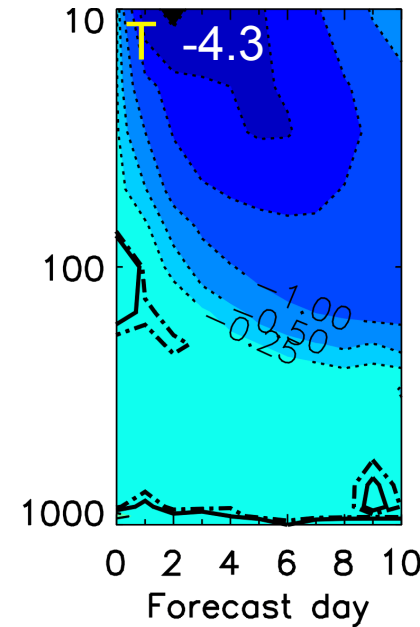
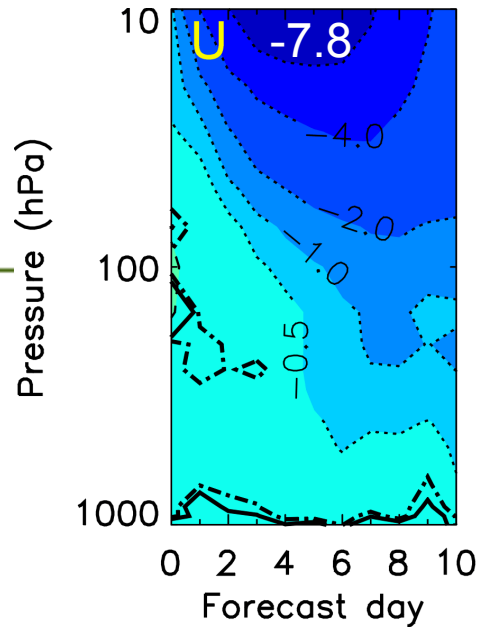


Winter NH stddev obs vs model

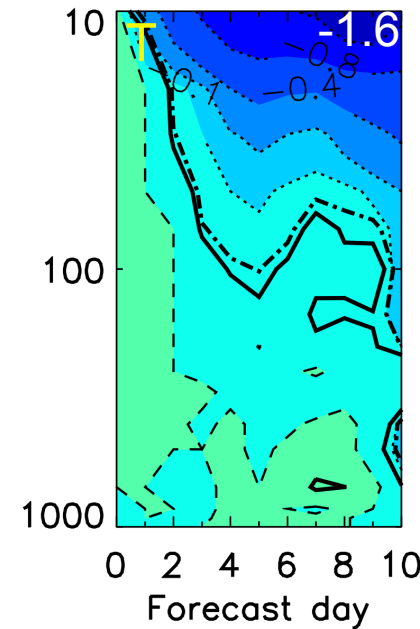
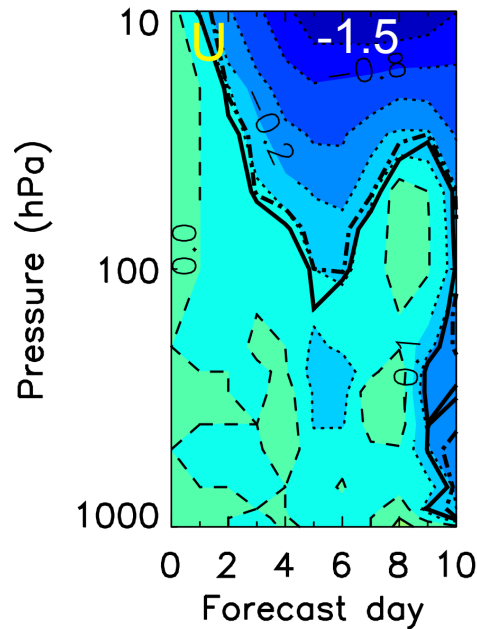
Impact of model changes

Most of the improvement is
due to changes in model

Impact of obs changes
(adding AMSUA 11-14
and GPSRO 30-40 km)



Contour intervals not the same!

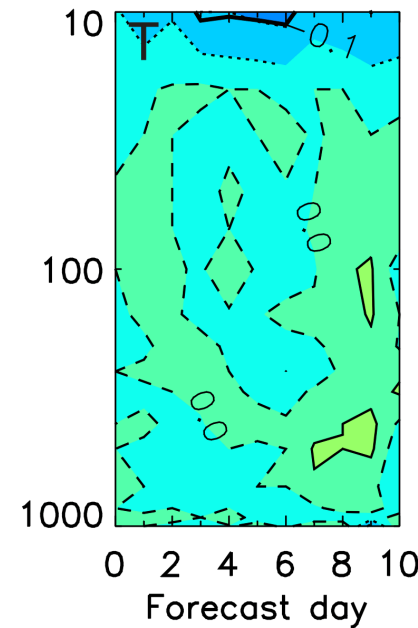
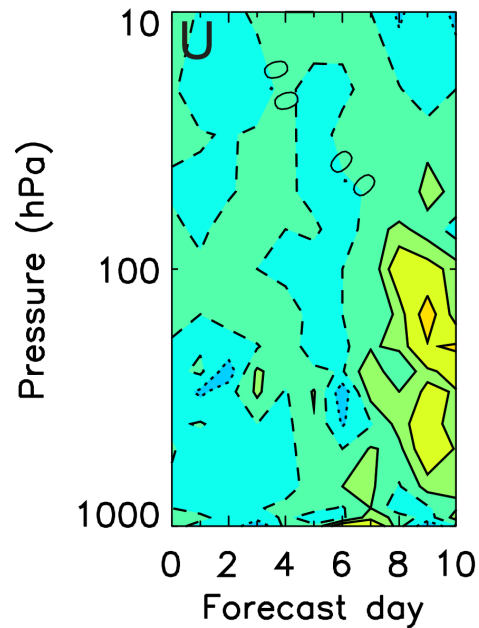
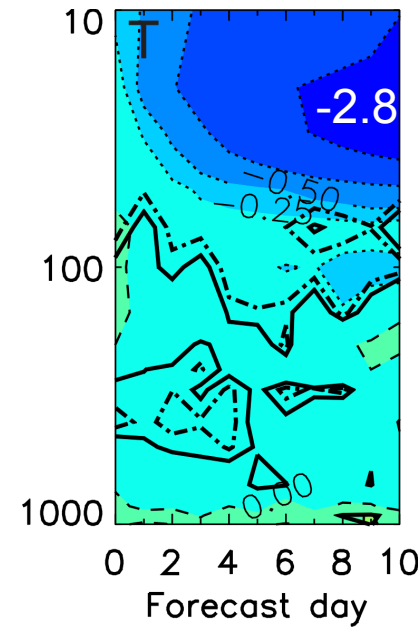
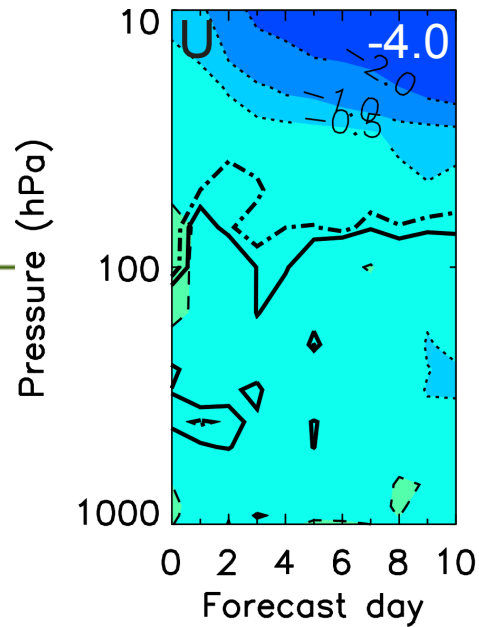


Summer SH stddev obs vs model

Impact of model changes

Only changes in model
contribute to improvement

Impact of obs changes
(adding AMSUA 11-14
and GPSRO 30-40 km)



Winter polar dynamics and data assimilation

- Improvement is much greater in winter than summer (improvement depends on season, not hemisphere)
- Extra obs in upper stratosphere are useful in winter but have no impact in summer
- Improvement achieved without adding new obs in upper stratosphere
- Is the improvement in tropospheric forecast scores due to the improved stratospheric depiction, or some other model change?



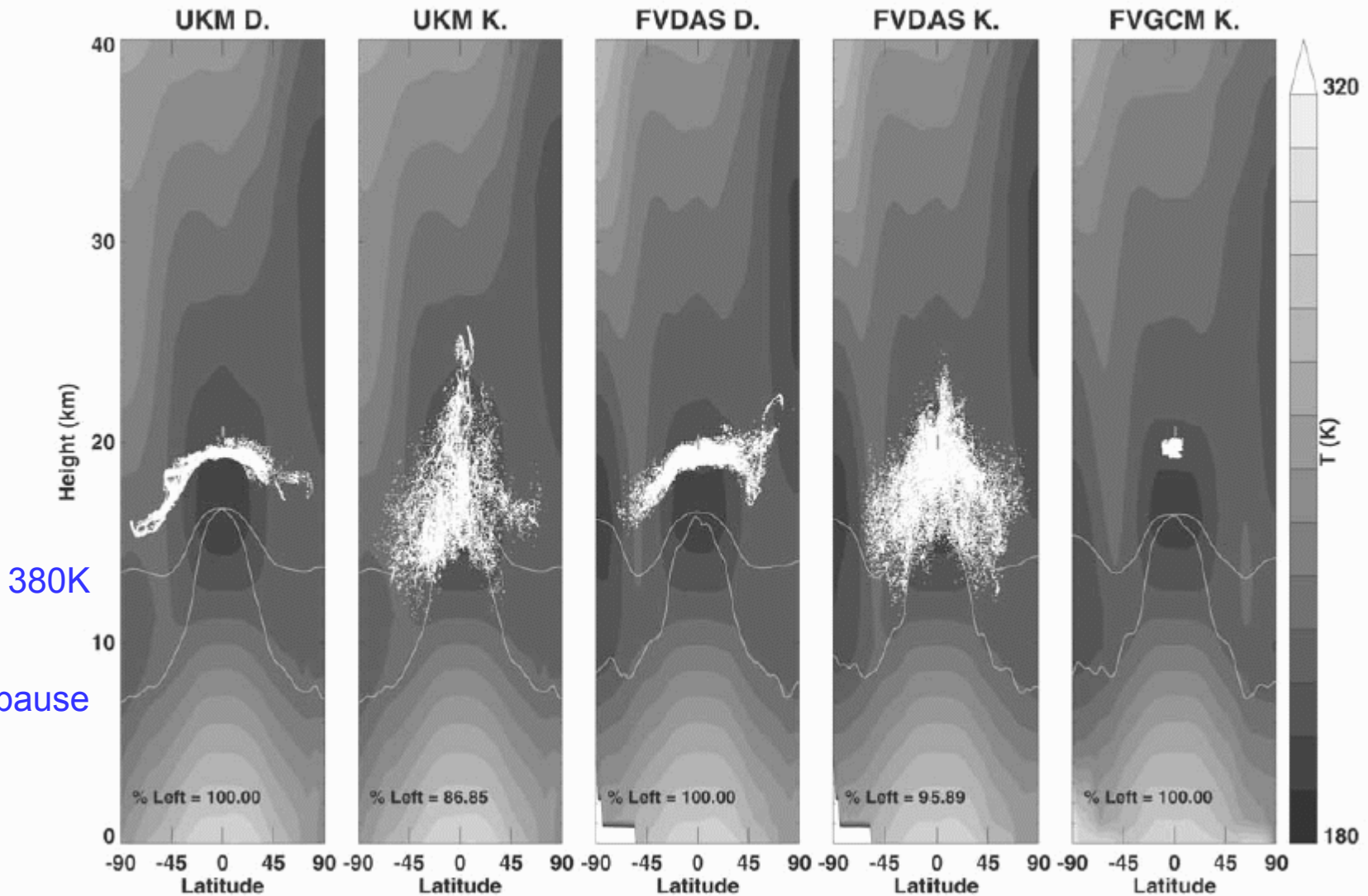
2. Transport of constituents



Distribution of parcels 50 days after start of back trajectories

SCHOEBERL ET AL.: LOWER STRATOSPHERIC AGE SPECTRA

ACL 5 - 5



a

Dt = -50 days

Schoeberl et al. 2003

Canada

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The Brewer-Dobson circulation is too fast for CTMs driven by analyses

This results in biases in ozone: too low values at tropics, too high elsewhere

“...current DAS products will not give realistic trace gas distributions for long integrations” – Schoeberl et al. (2003)

Problems with analysed winds:

- Vertical motion is noisy
- Horizontal motion is noisy in tropics
- Leads to too rapid tracer transport
- Tropical ascent: obs: 24 mo., GCMs: 12 mo., analyses: 6 mo.



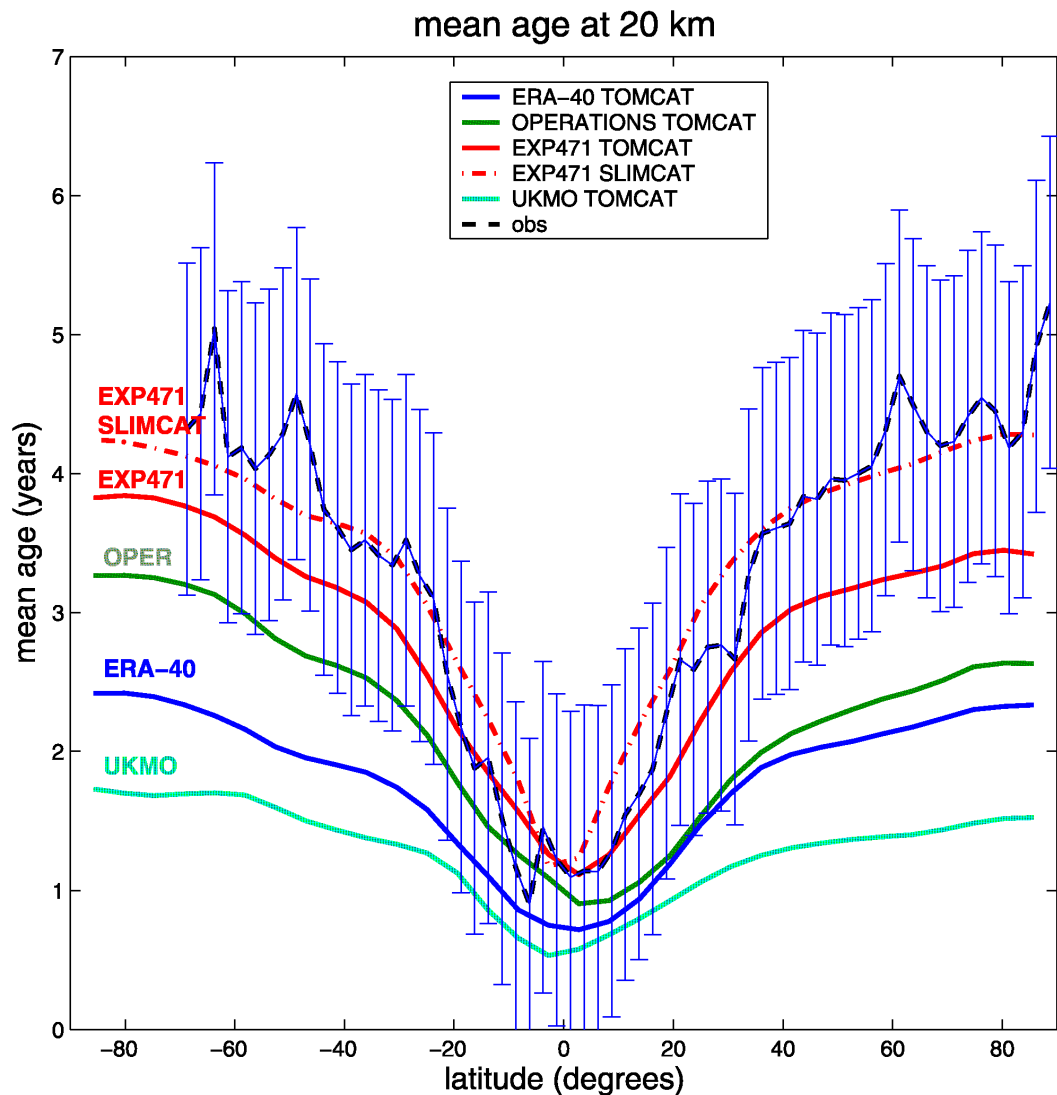
Why do assimilated winds lead to poor transport on long time scales?

- Imbalance due to insertion of data excites spurious gravity waves which creates excessive vertical motion. [Weaver et al. \(1993\)](#)
- Impact of data insertion important when model and obs biases exist. [Douglass et al. \(2003\)](#)
- Assimilation of tropical data leads to spurious PV anomalies (wave activity) and excessive ventilation of tropics. [Schoeberl et al. \(2003\)](#)



Improvements in assimilation techniques impact age-of-air

Monge-Sanz et al. (2007)



4D-Var (12h) + better balance
+ TOVS bias corr. + lower
model bias + ...

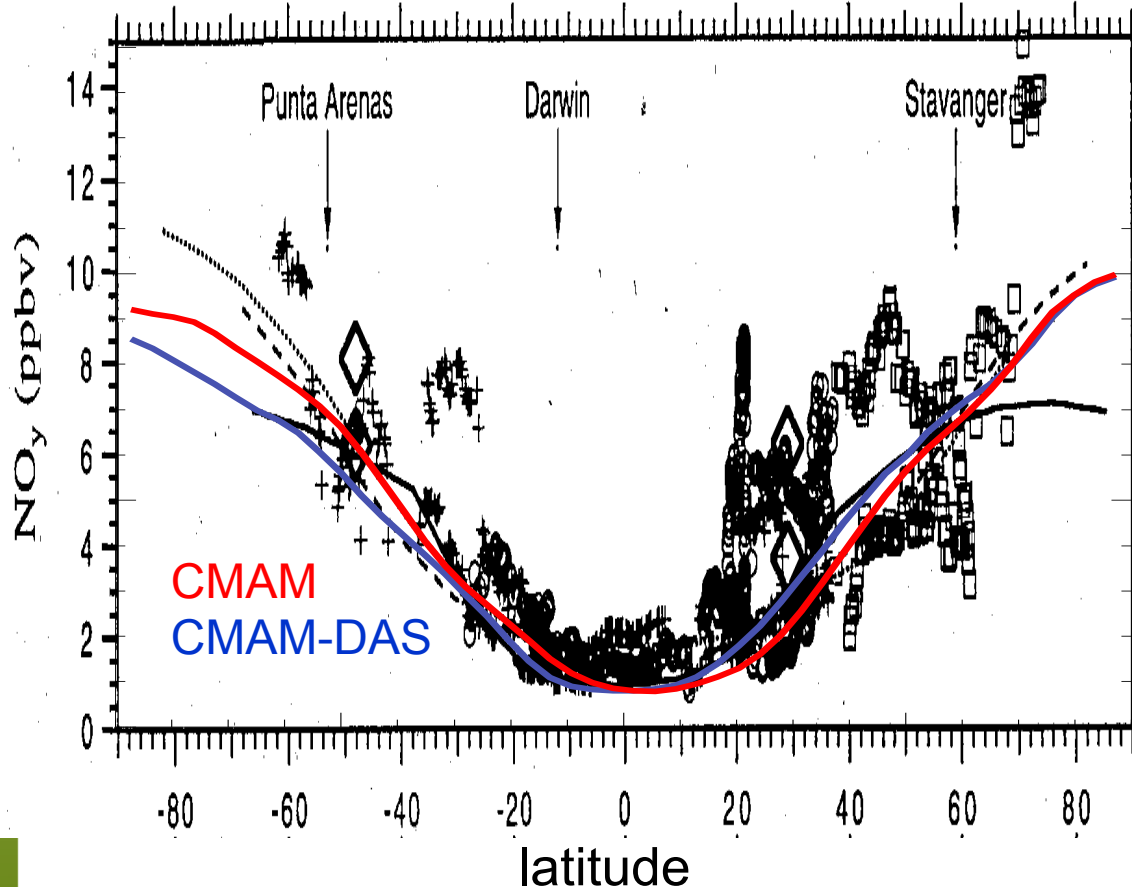
Operational 4D-Var (6h)

ERA40 3D-Var

Latitudinal gradients can be well maintained even in 3D-Var analyses

Figure courtesy of Michaela Hegglin

NO_y at 62 hPa (19 km)



ER2 aircraft data from
Murphy et al. (1993)
CMAM-DAS - March 03

CMAM-DAS uses 3D-
Var (not 4D-Var)!

Improvements due to:
(1) online transport and/
or (2) improved balance
in increments due to
IAU ?

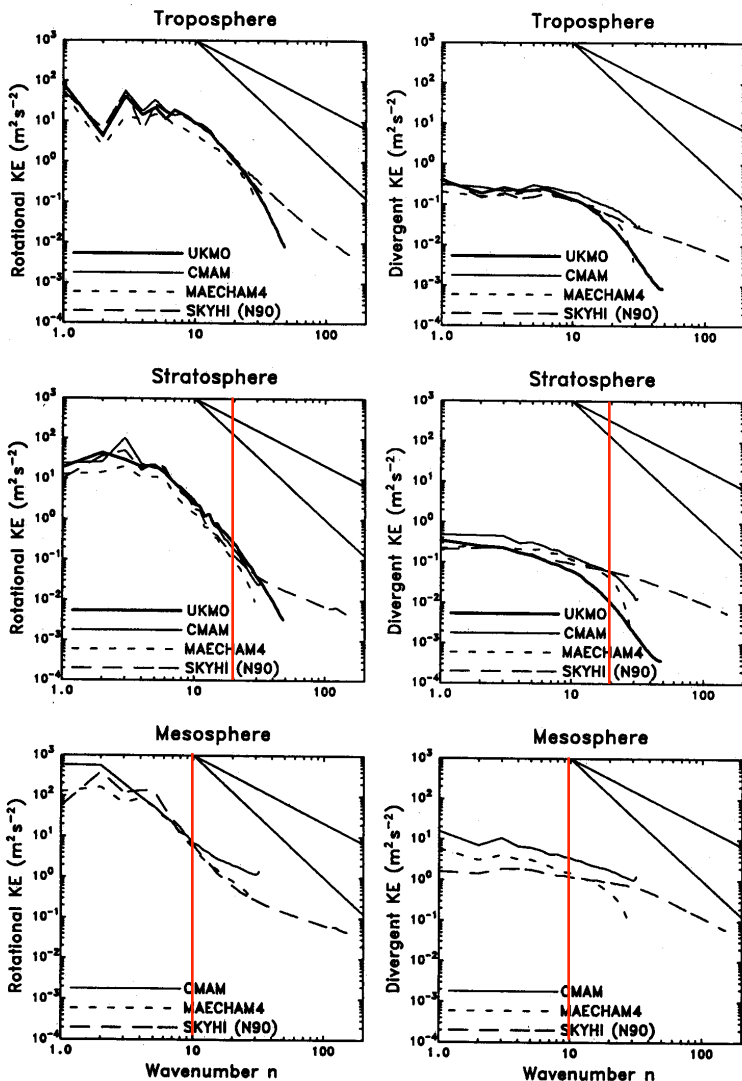


| | Low Top | High Top |
|-----------------------------------|---------------------------------------|--|
| Horizontal grid points | 800 x 600 | 800 x 600 |
| Vertical coordinate | Normalized sigma | Hybrid |
| No. of vertical levels | 58 | 80 |
| Lid height | 10 hPa | 0.1 hPa |
| Sponge layer at lid (Del2) | 4 levels Acts on full fields | 6 levels Acts on departures from zonal mean |
| Tropical sponge near lid | 4 levels (coef=450) Down to 50 hPa | 8 levels (coef=50) Down to 3 hPa |
| Radiation scheme | Fouquart/Bonnell + Garand | Li and Barker |
| Non-orographic GWD scheme | No | Hines |
| Methane oxydation | No | Yes |
| Ozone climatology | Kita and Suma (1986) | Fortuin et Kelder (1998) below 0.3 hPa, HALOE above 0.3hPa, Transition between 2 to 0.3 hPa |
| Total cost | 1.0 | 1.5 |

Rot KE

Div KE

Koshyk et al. (1999)



troposphere

stratosphere

mesosphere

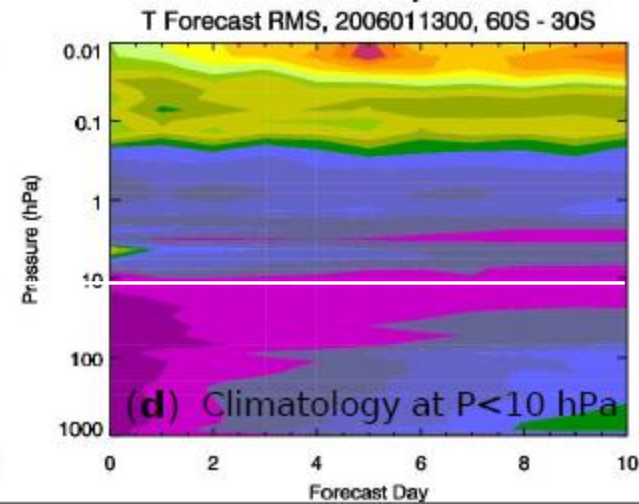
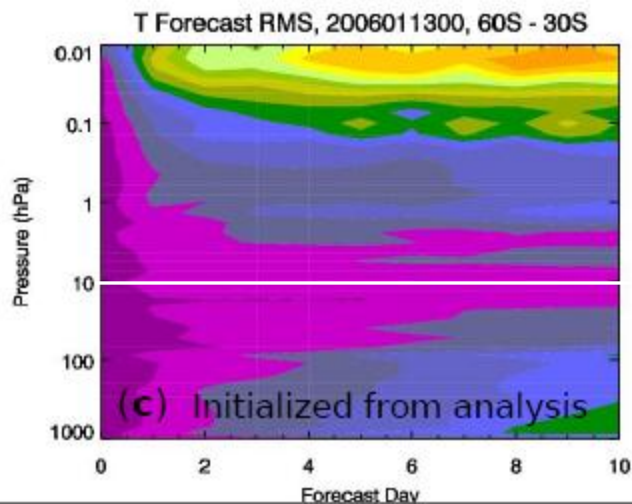
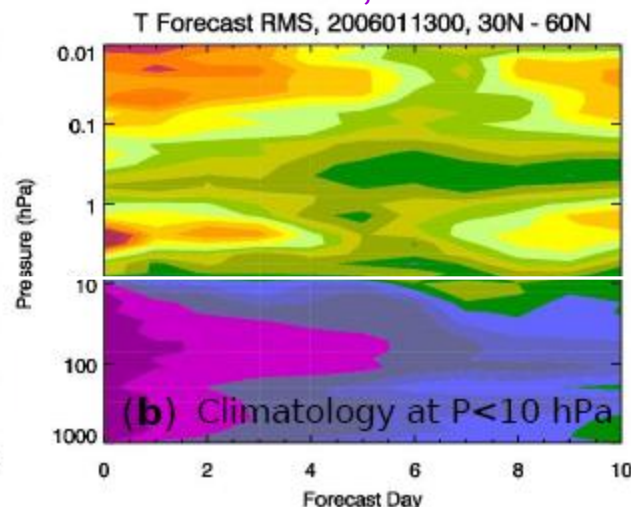
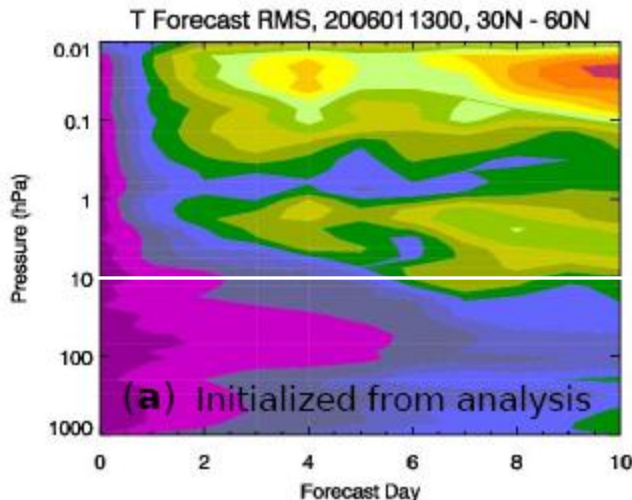
Figure 4. Rotational and divergent parts of the monthly mean kinetic energy per unit mass versus total horizontal wavenumber for January data from the UKMO assimilation, CMAM, MAECHAM4, and SKYHI (N90) models. The curves represent vertically averaged values over the troposphere (top), stratosphere (middle), and mesosphere (bottom), as described in Figure 1.

Assimilating mesospheric obs is useful esp in winter

Hoppel et al. (2008, SPARC Newsletter no. 30, p.30)

Forecasts from analyses

Forecasts from climatology
UARS-URAP, CIRA above 10 hPa



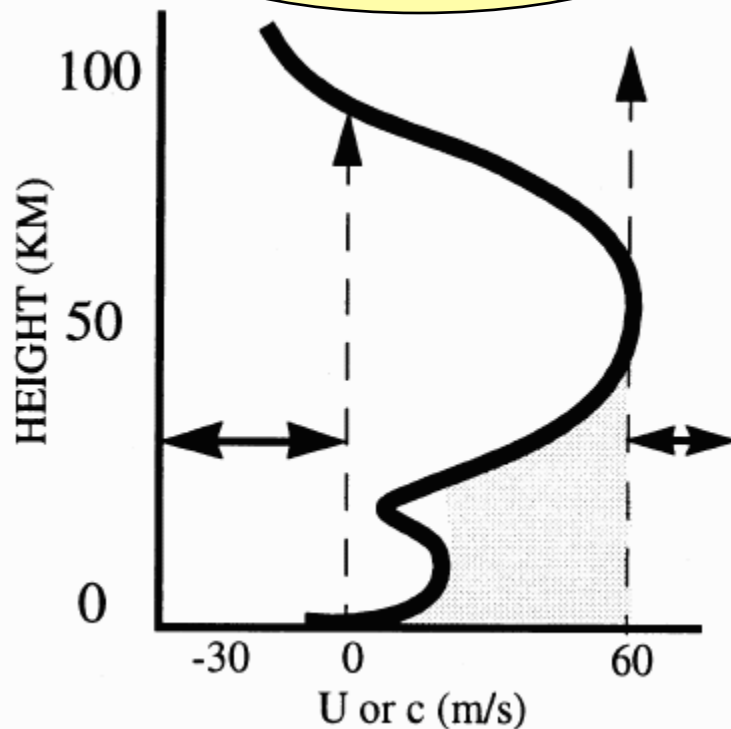
- NRL's model NOGAPS-ALPHA T79L68, lid at 96 km
- SABER, MLS temperature assimilated 32-0.01 hPa
- 12 forecasts during Jan-Feb 2007

Critical level filtering of waves by background mean winds

McLandress (1998)

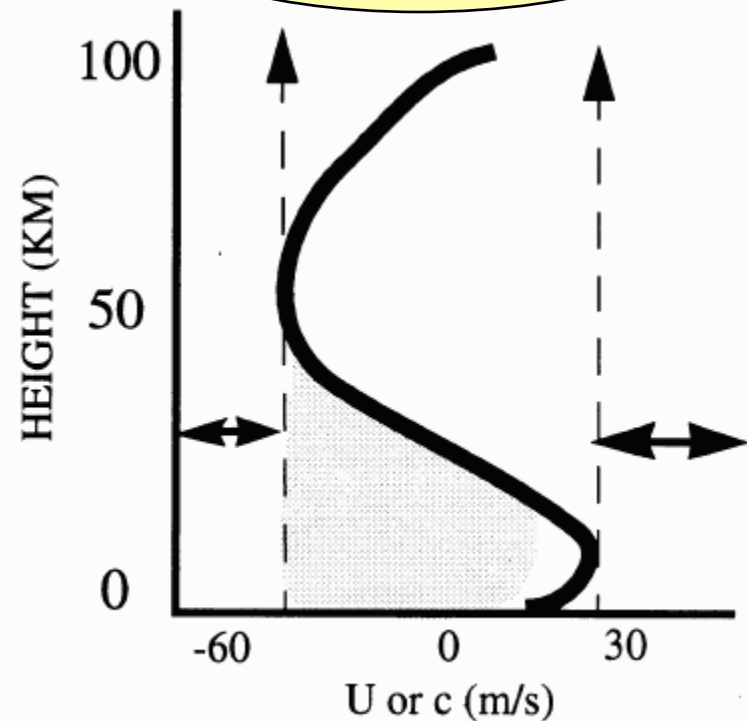
WINTER

GWs $c < 0$ break, drag reduces westerlies



SUMMER

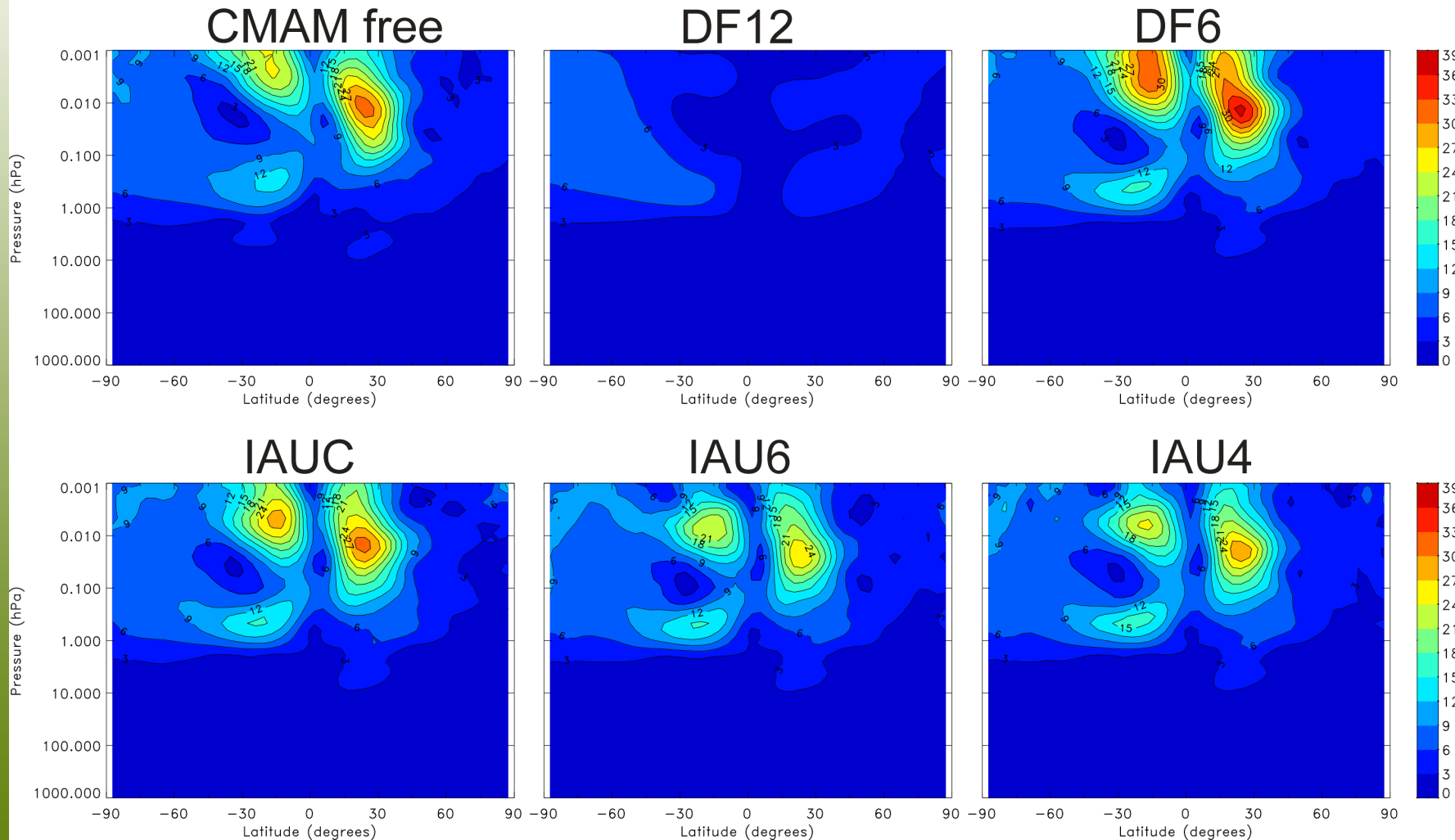
GWs $c > 0$ break, drag reduces easterlies



Tropospheric waves impact mesospheric migrating diurnal tide

Sankey et al. (2007, JGR)

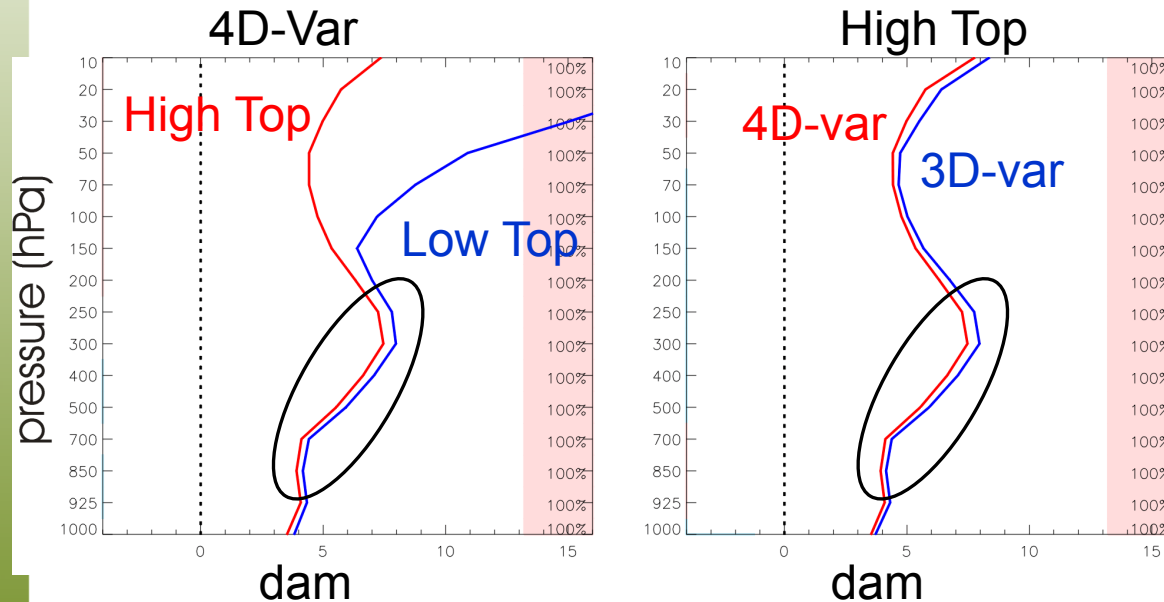
21-30 January 2002



Improving the stratosphere improves 5-day forecasts in the troposphere

On June 22, 2009 Canadian Meteorological Centre implemented operationally a global stratospheric model (0.1 hPa) for medium range weather forecasts

O-F(5 day) against NH sondes for GZ



Polavarapu et al (2011)

A good stratosphere impacts troposphere forecasts as much as 4D-Var

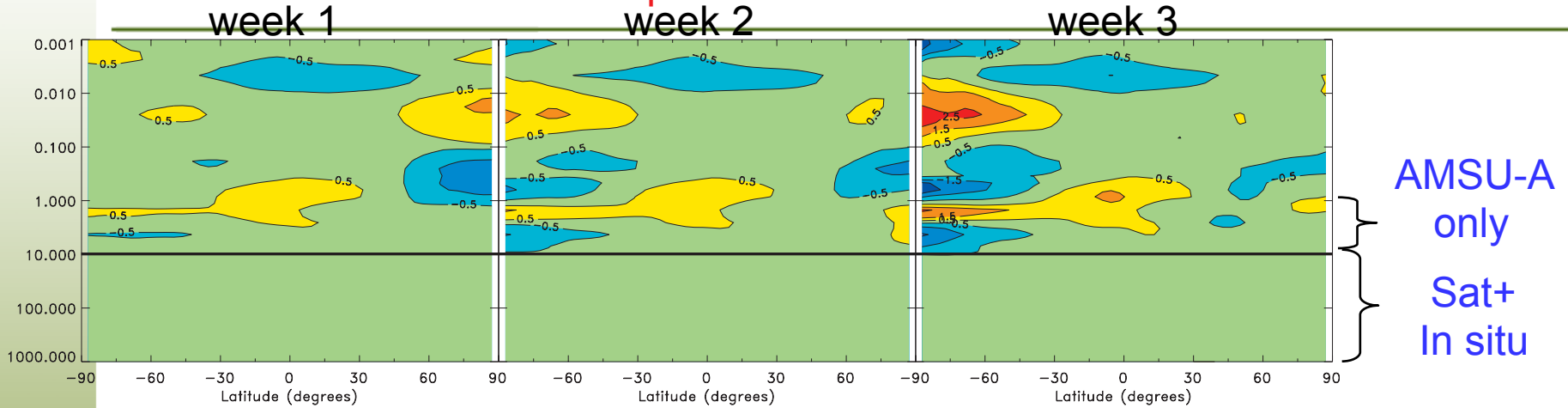
Winter

Dec. 20 – Jan. 26, 2006
(75 cases)

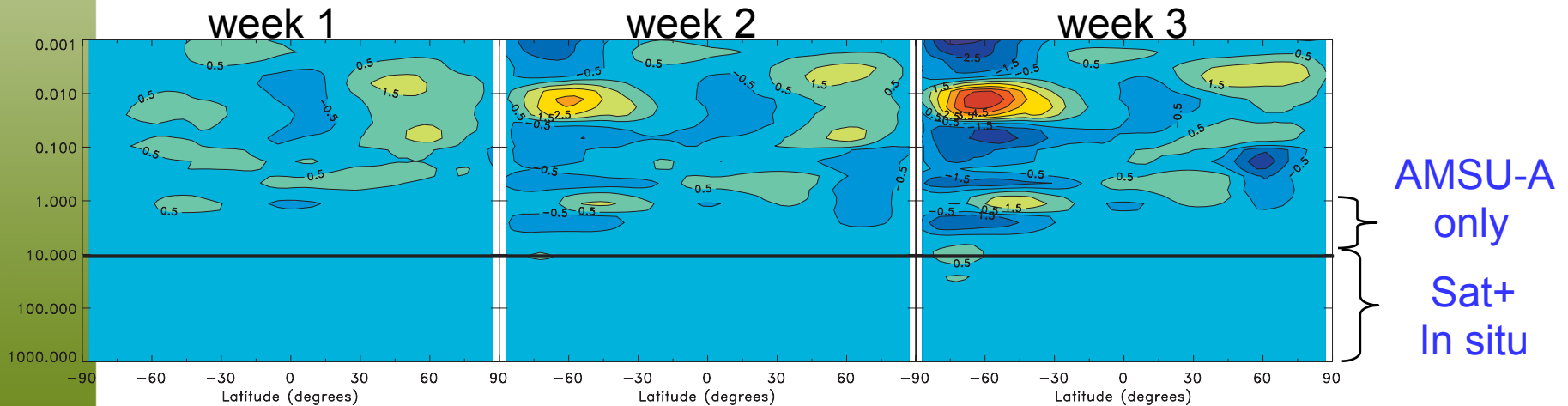
Obs and/or model forecast is biased

Zonal mean and time mean anal. incr.

Temperature



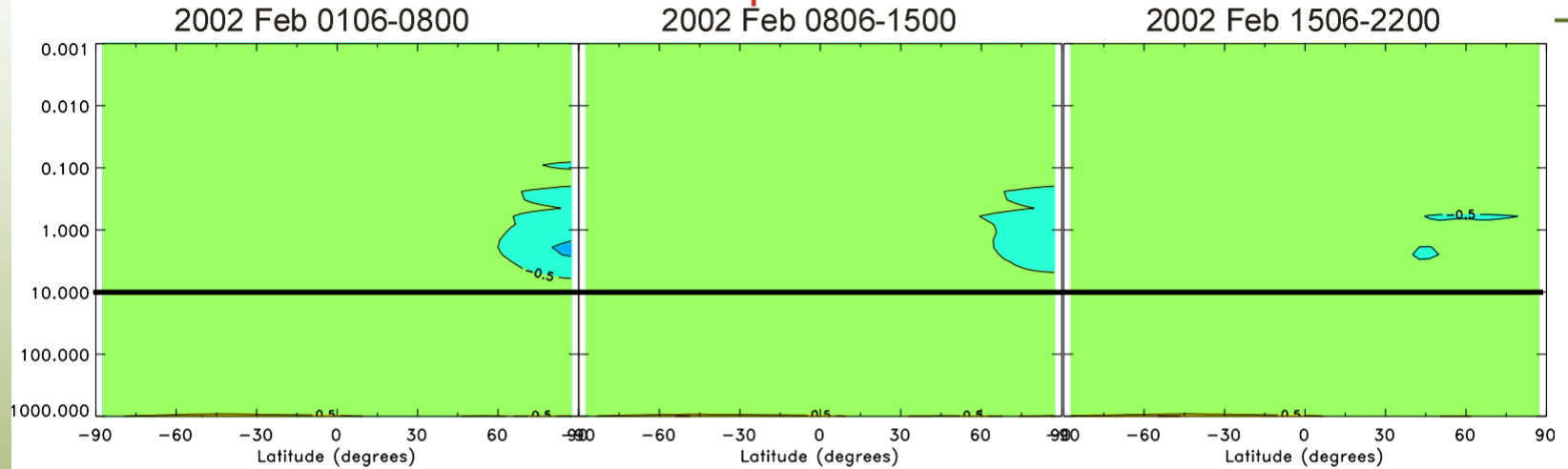
zonal wind



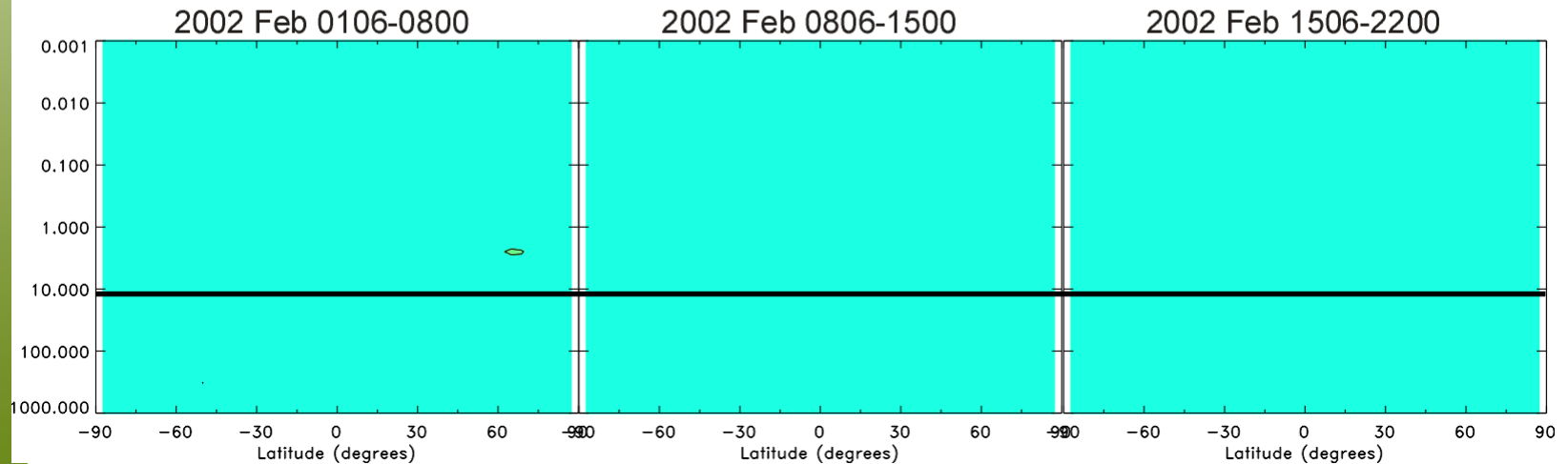
After removing vertical correlations in the mesosphere

CMAM-FDAM run
Zonal mean and time mean anal. incr.

Temperature



zonal wind



Environment
Canada

Environnement
Canada

Canada

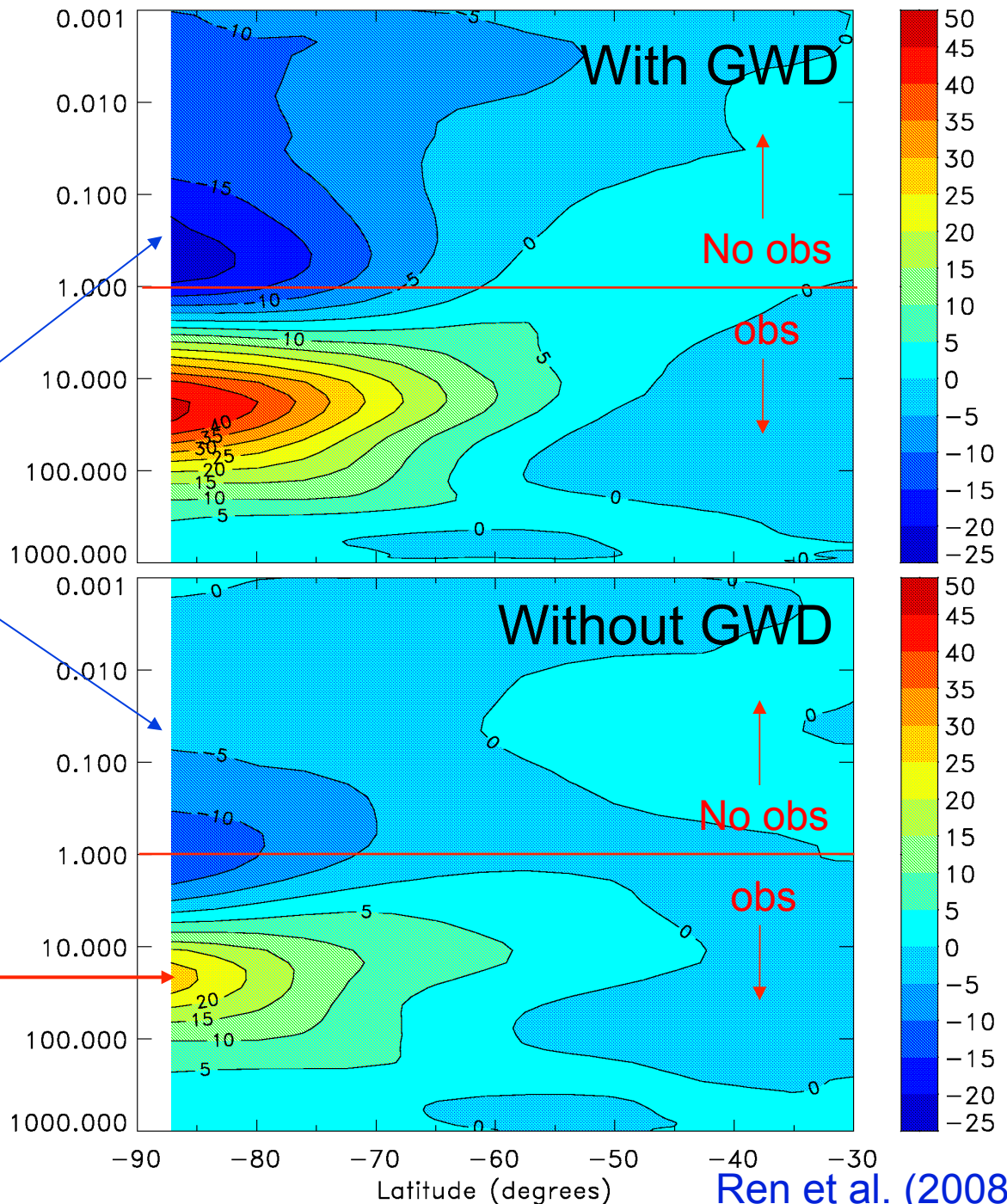
Gravity Wave Drag couples information in troposphere and mesosphere

Time mean: Sept. 25-Oct. 1
Ensemble mean, zonal mean

Vertical extent of mesospheric cooling is reduced

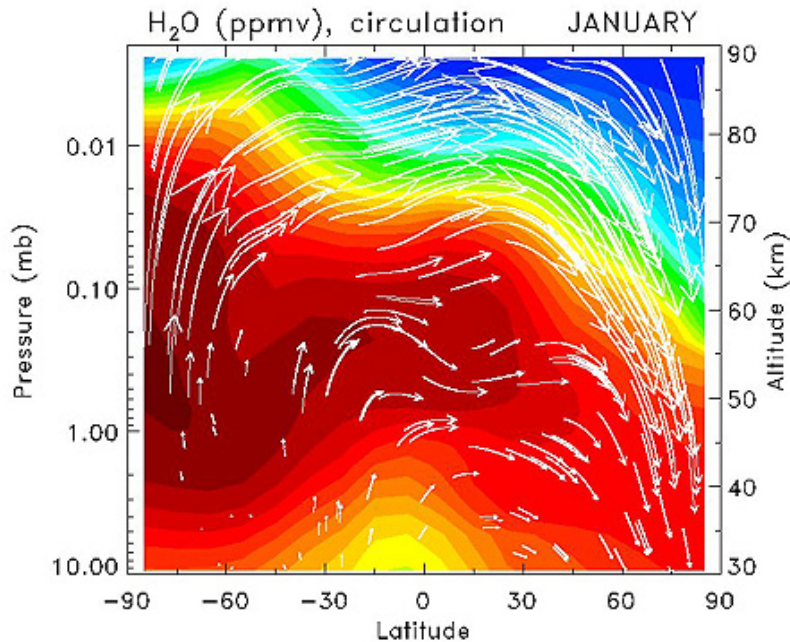
Zonal mean temp. difference between "hits" and "misses"

Stratospheric warming is half the amplitude

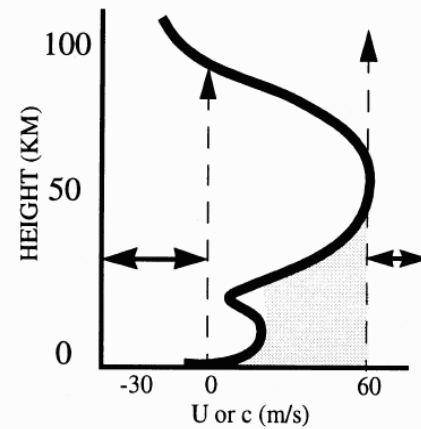


Mesospheric meridional circulation

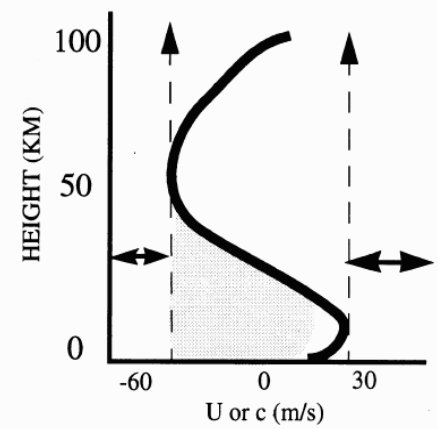
Zonally averaged water vapor distribution for January



WINTER



SUMMER



McLandress (1998)

- Zonal flow filters eastward (**westward**) GWs in winter (**summer**) yielding net westward (**eastward**) drag
- Deceleration of westerlies (**easterlies**) at winter (**summer**) pole produces poleward (**equatorward**) motion through Coriolis torque

Gravity waves drive a pole-to-pole circulation



Environment
Canada

Environnement
Canada

Canada

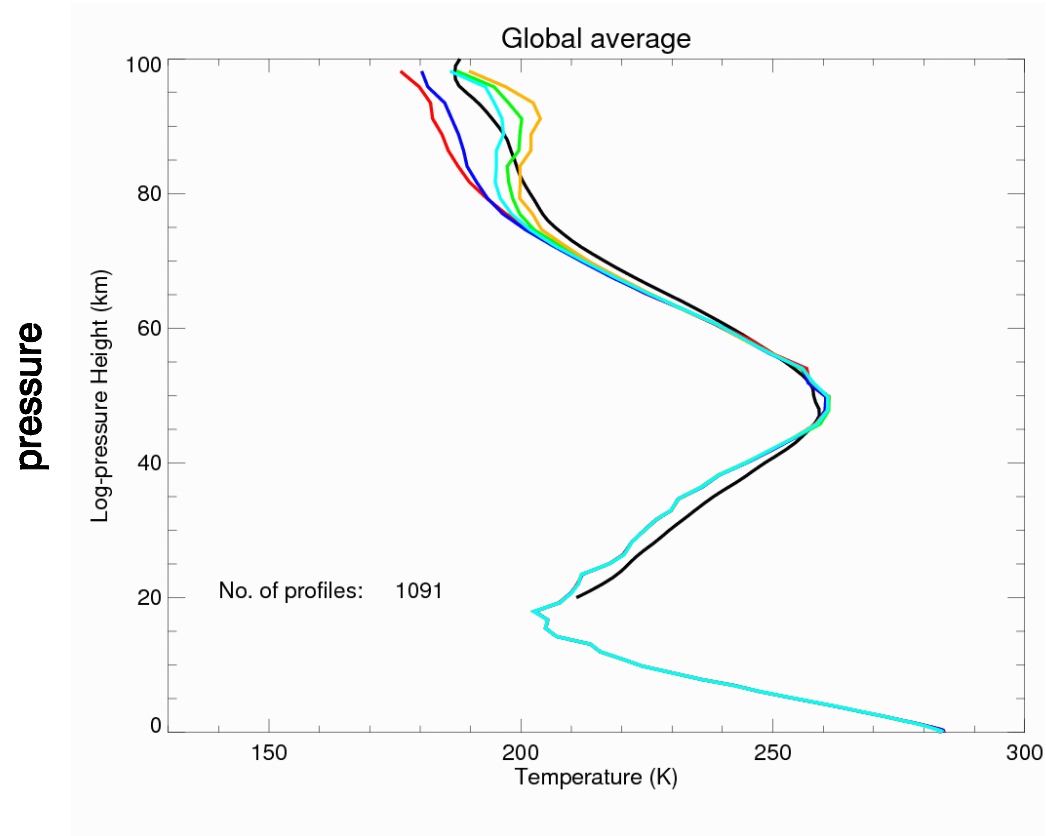
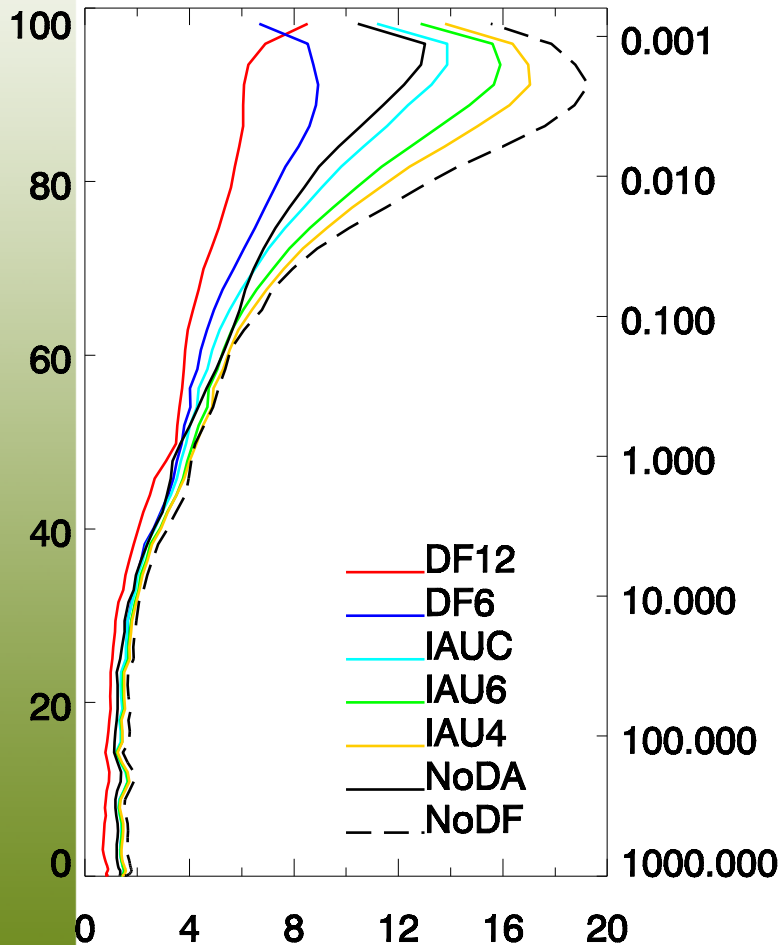
<http://www.ccpo.odu.edu/~lizsmith/SEES/>

Waves in the troposphere produce bias in the mesosphere

global mean variance

Sankey et al. (2007)

square root



More waves --> more damping
--> more heating

